

FIG. 1

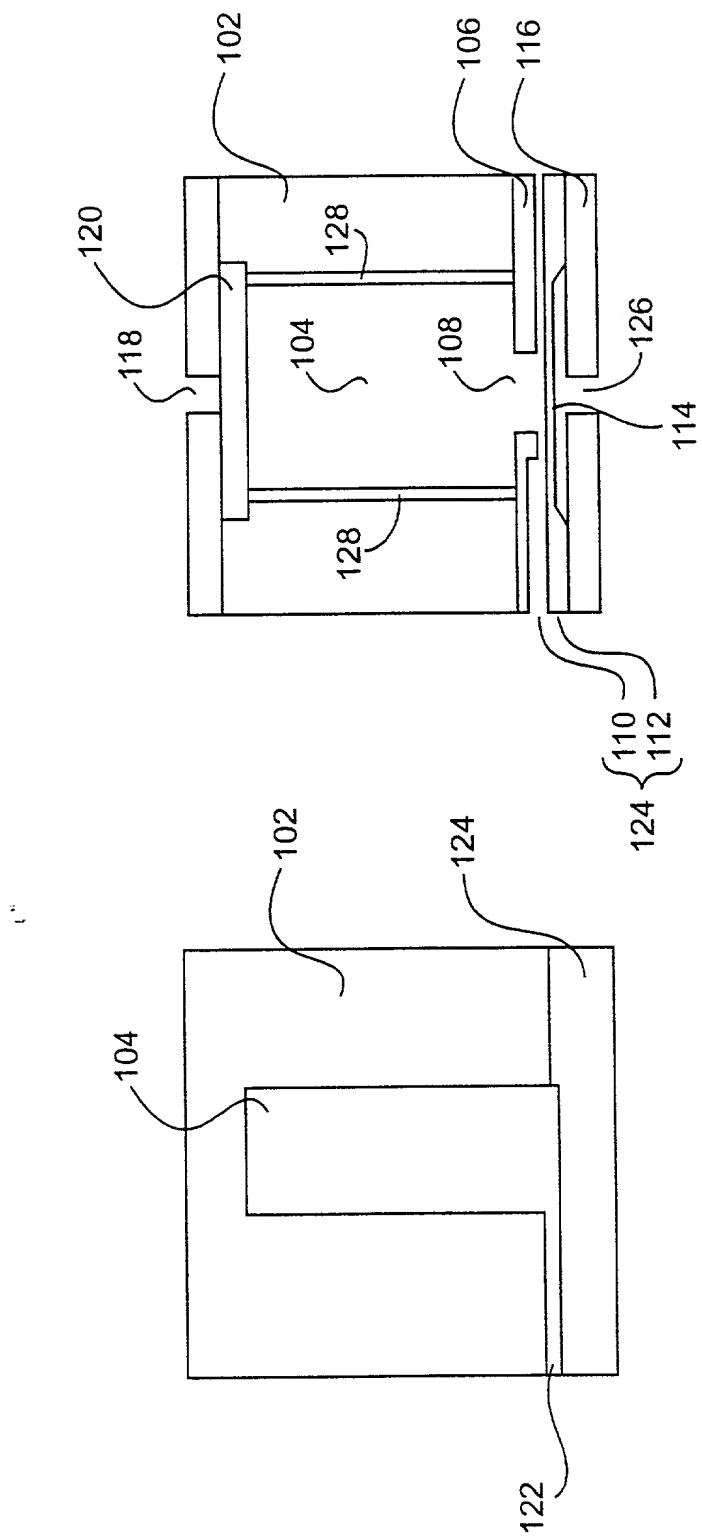


FIG. 2a &amp; 2b

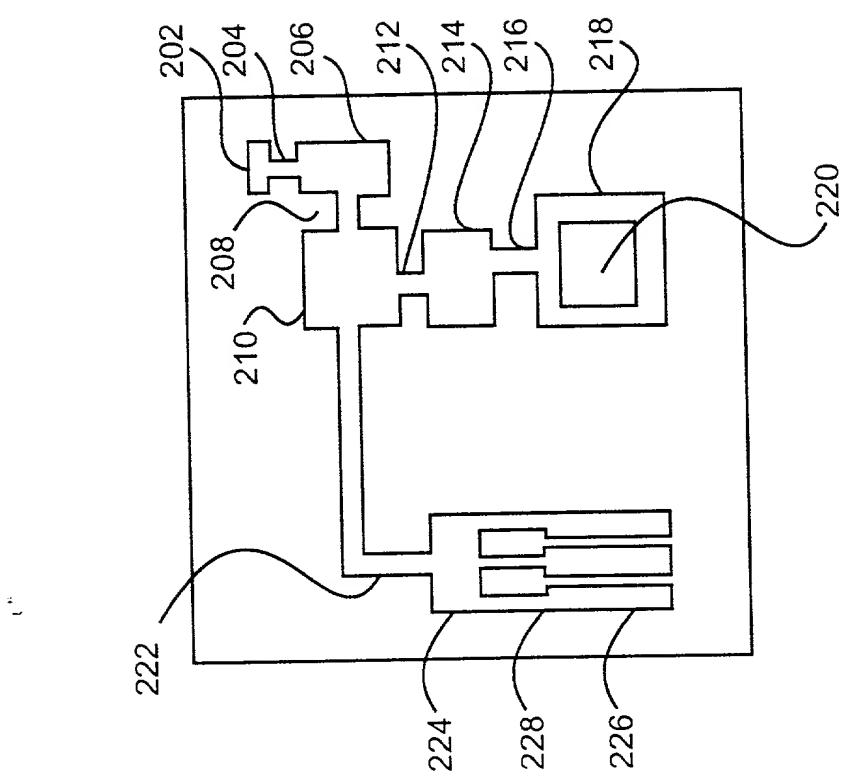


FIG. 3

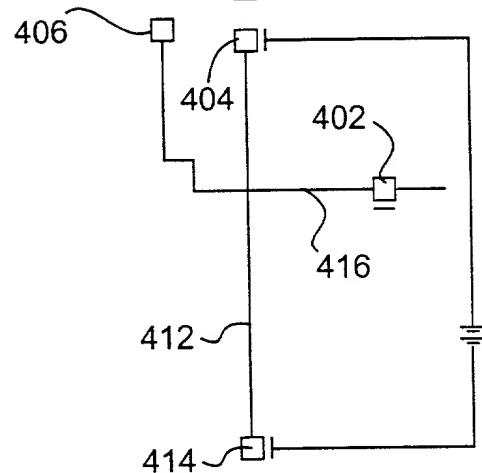
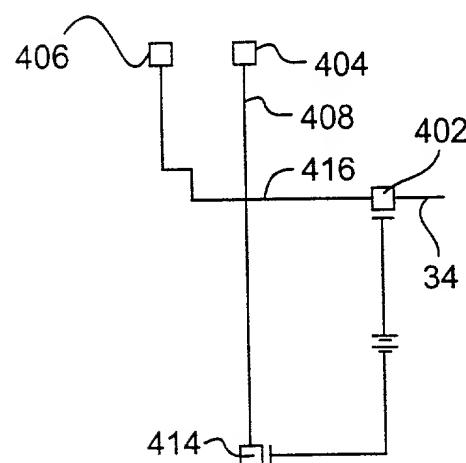
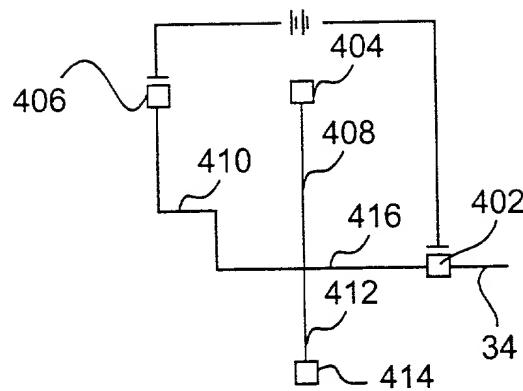


FIG. 4a-4c

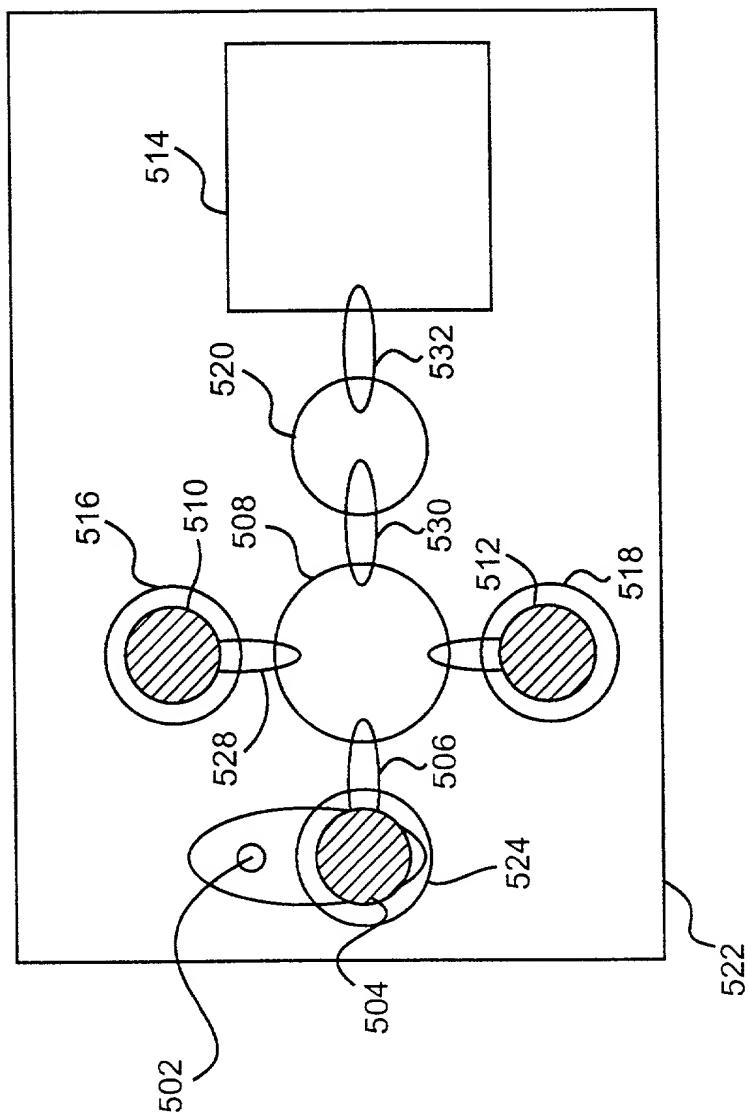


FIG. 5a

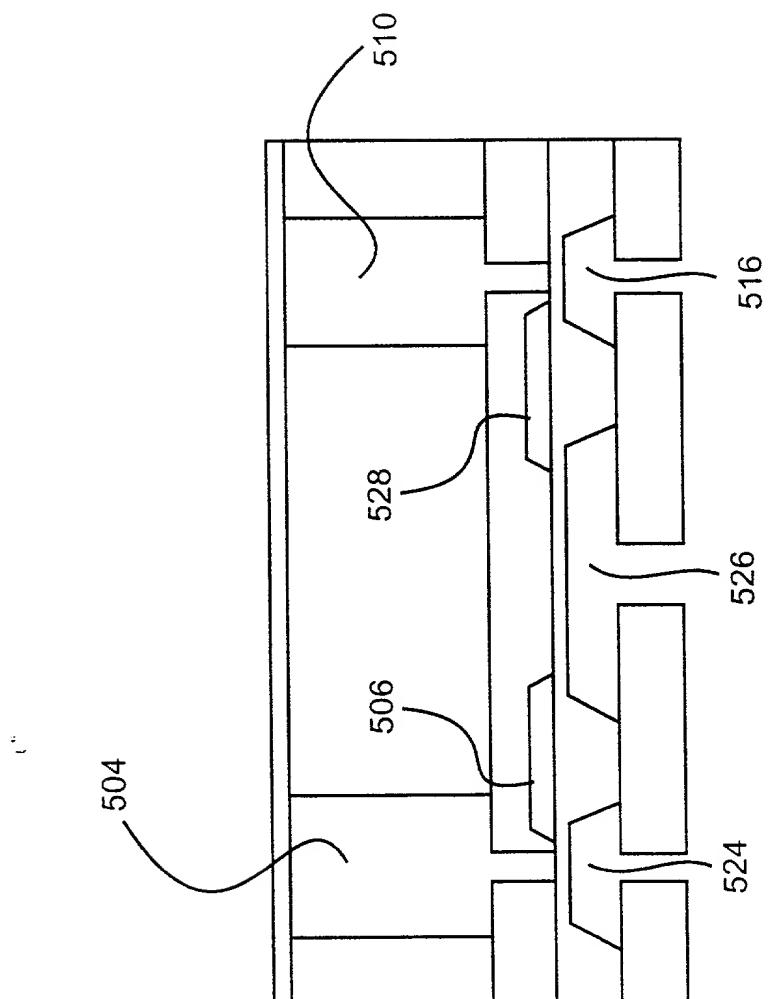


FIG. 5b

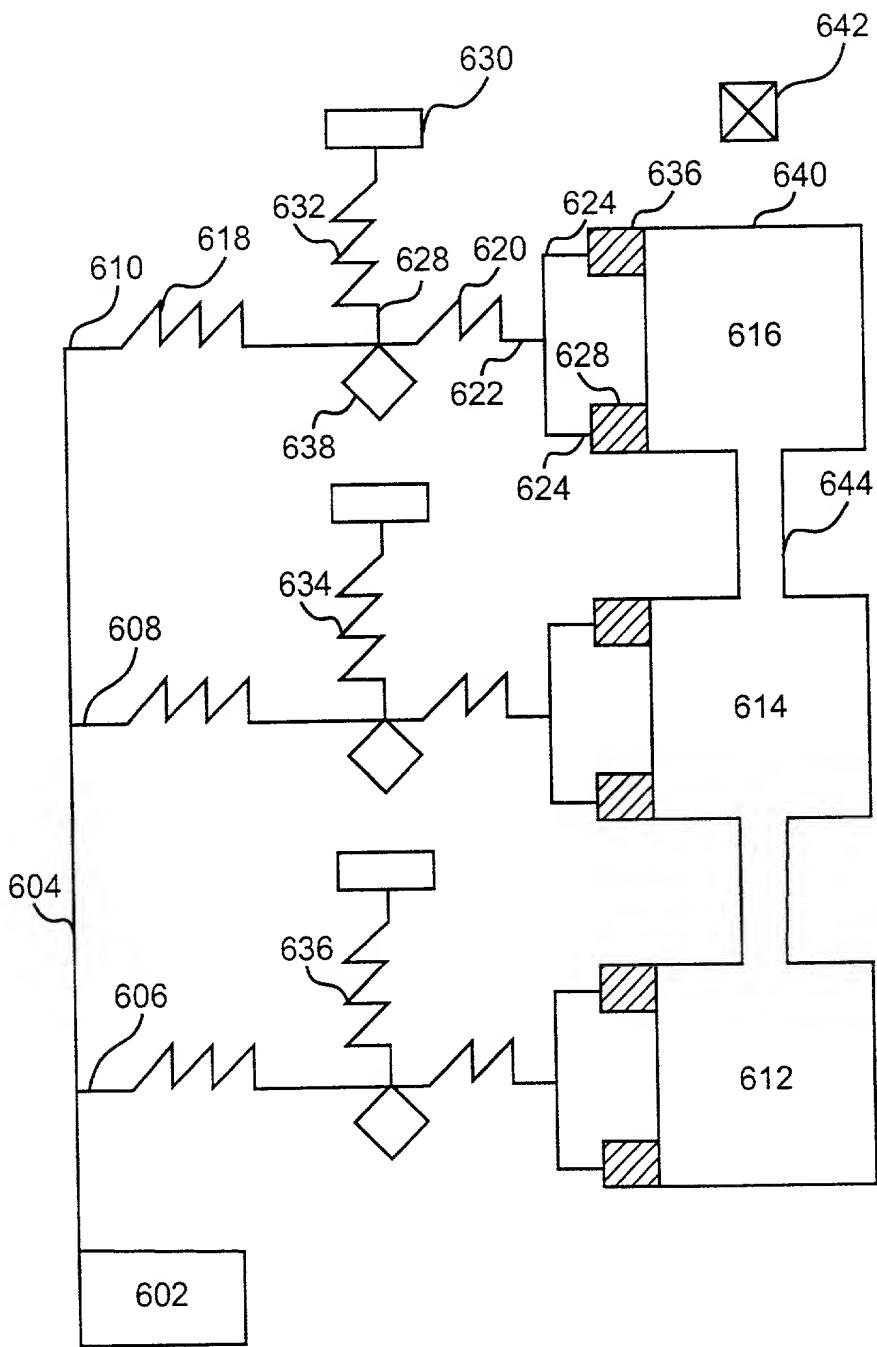


FIG. 6a

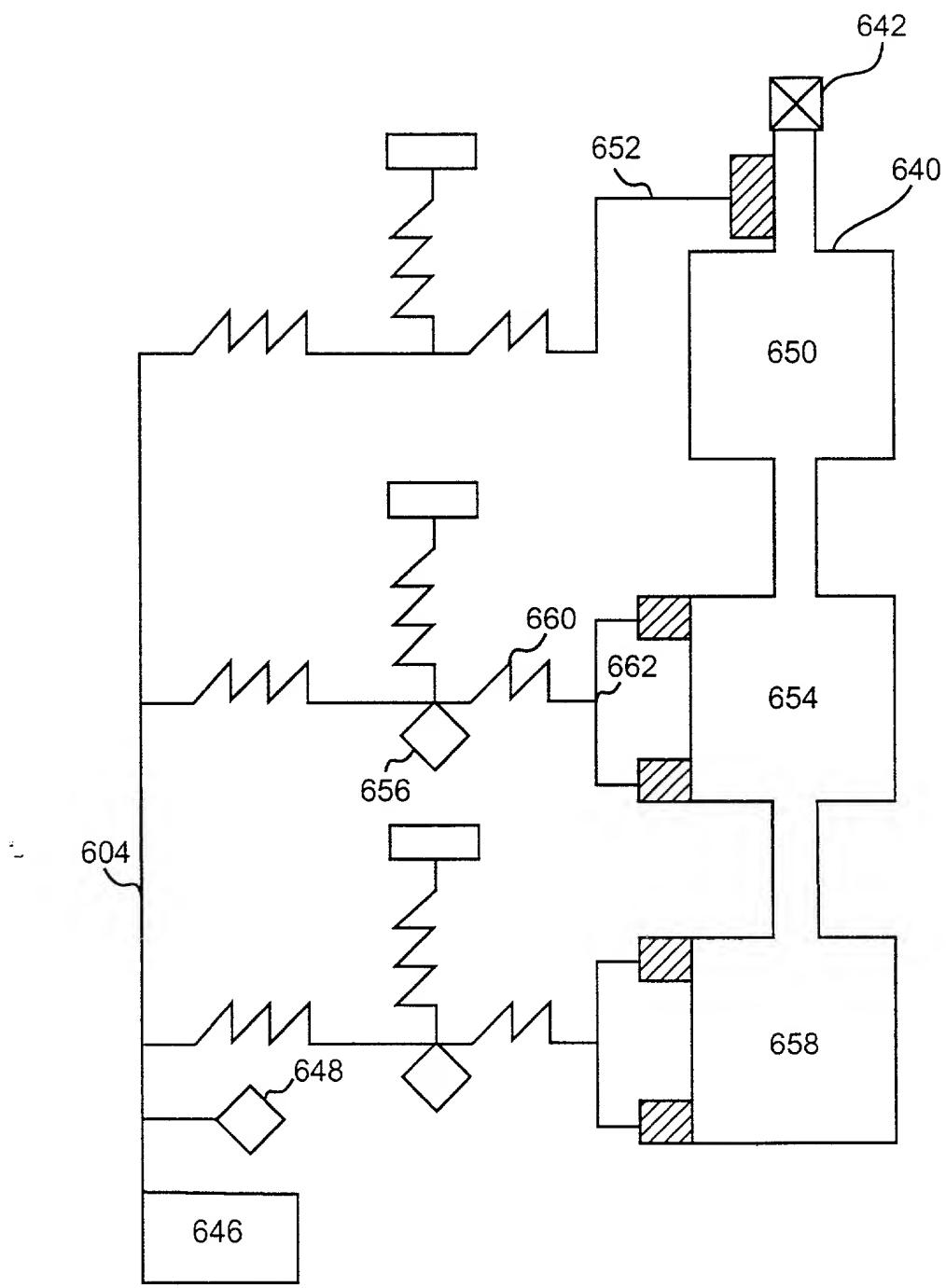


FIG. 6b

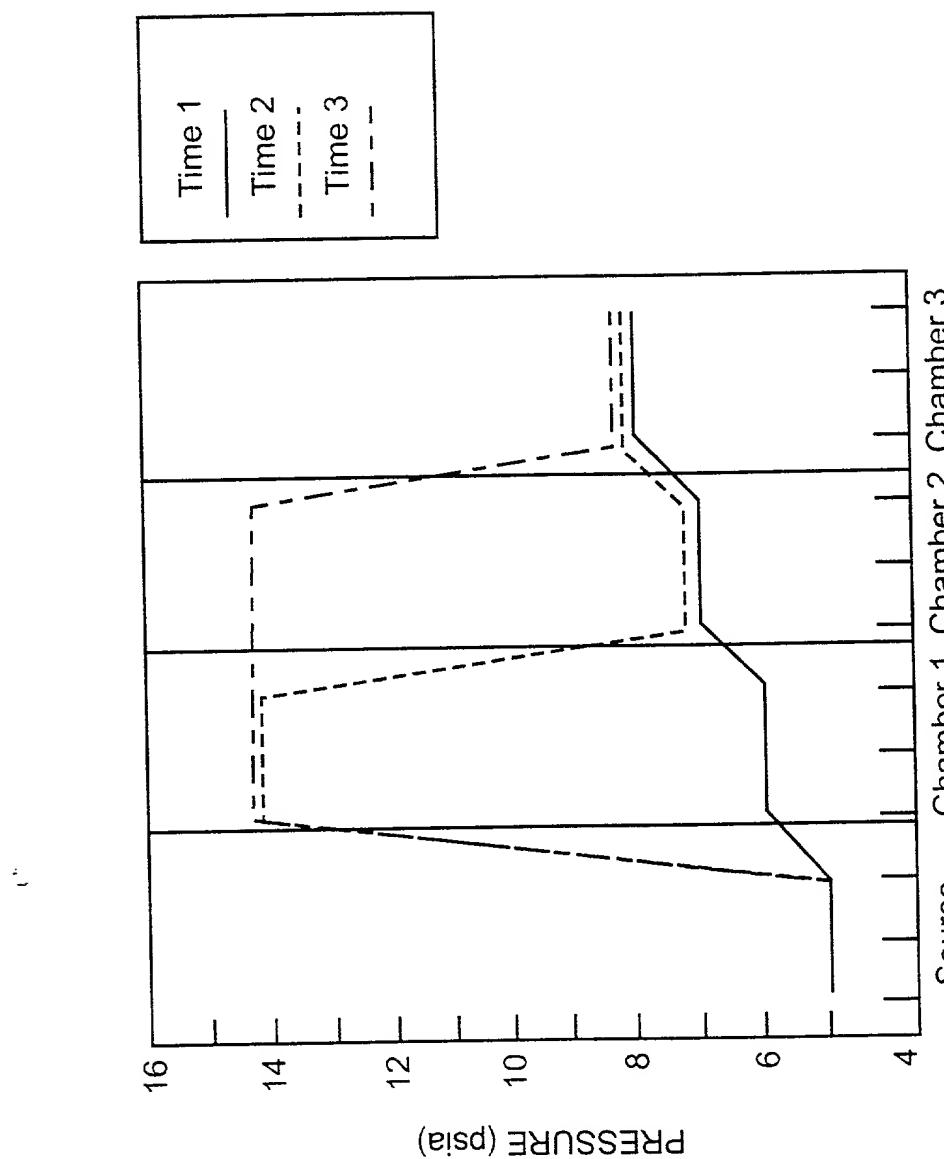


FIG. 6C

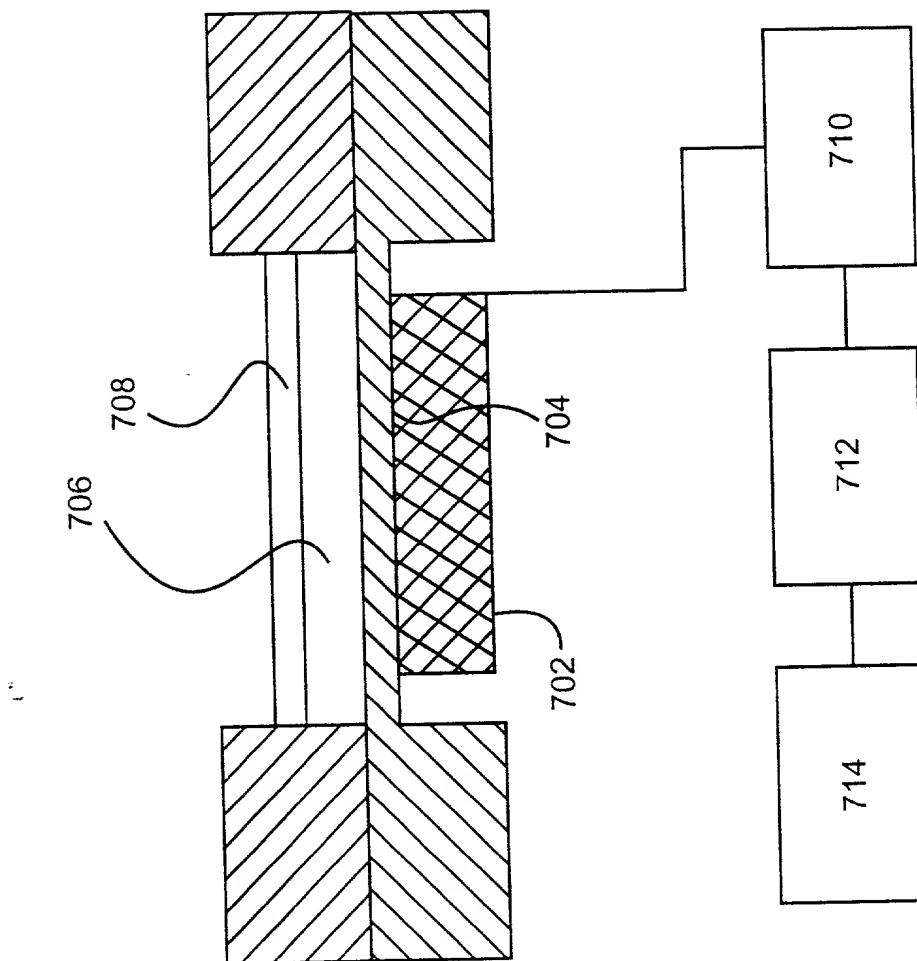


FIG. 7a

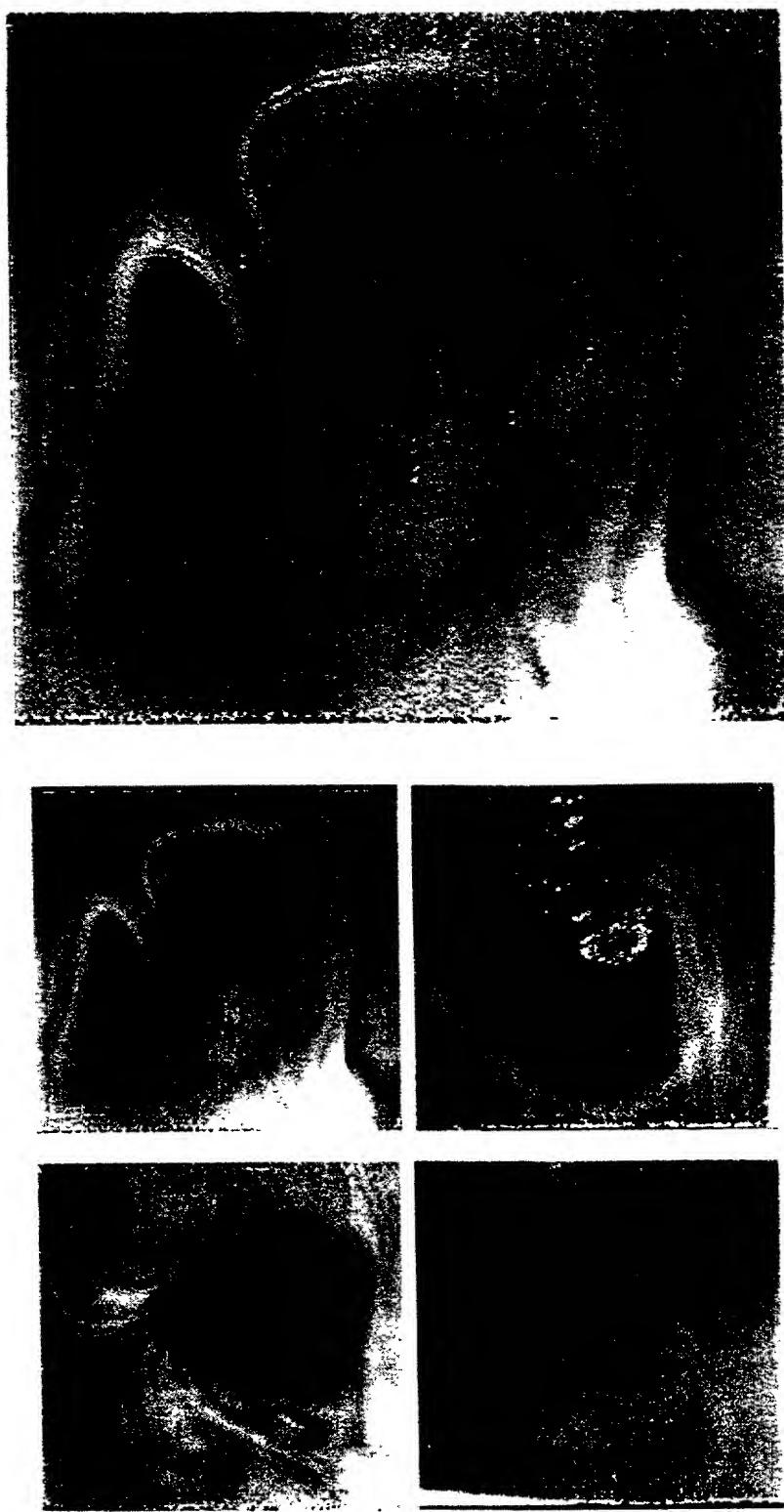


FIG. 7B

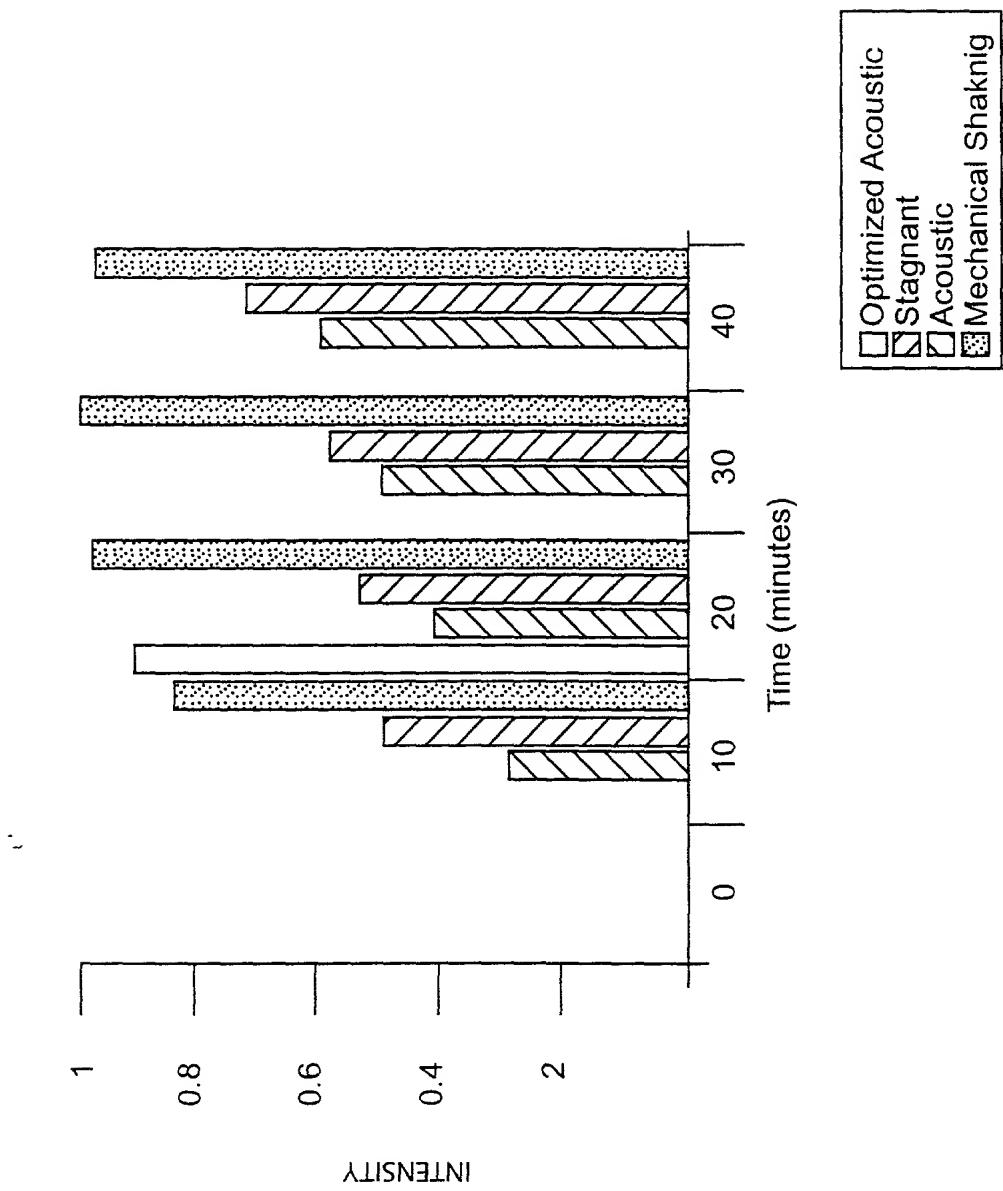


FIG. 7C

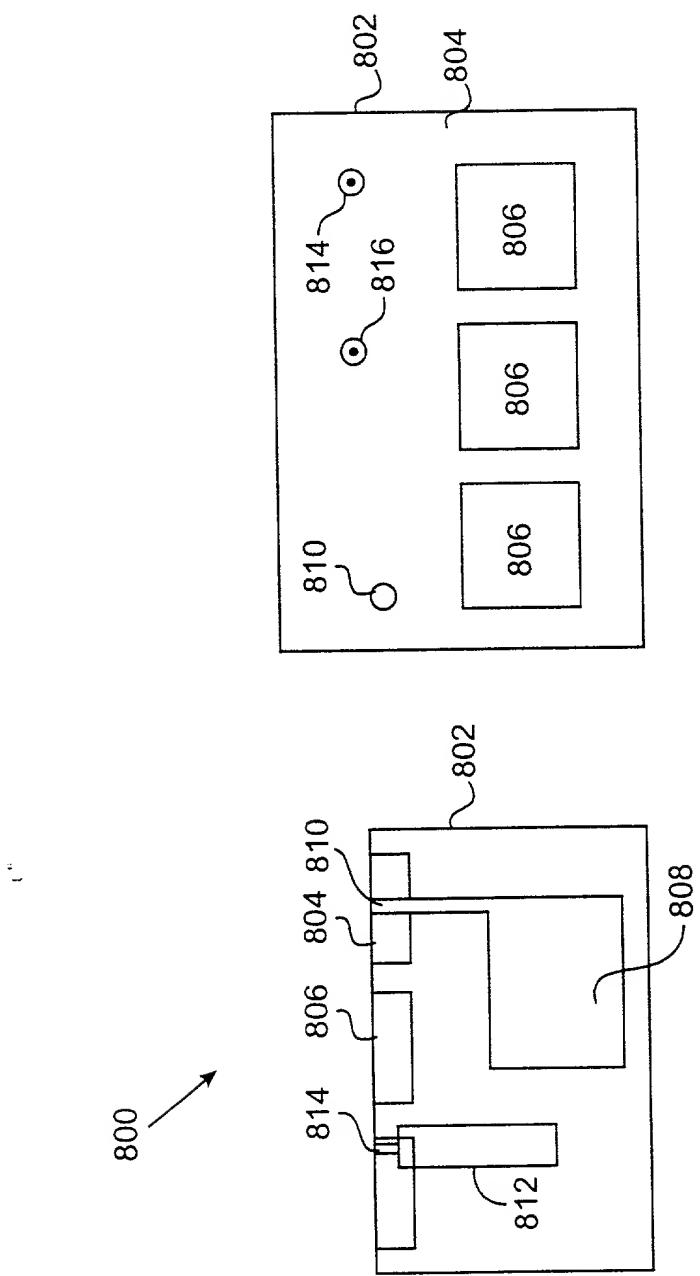
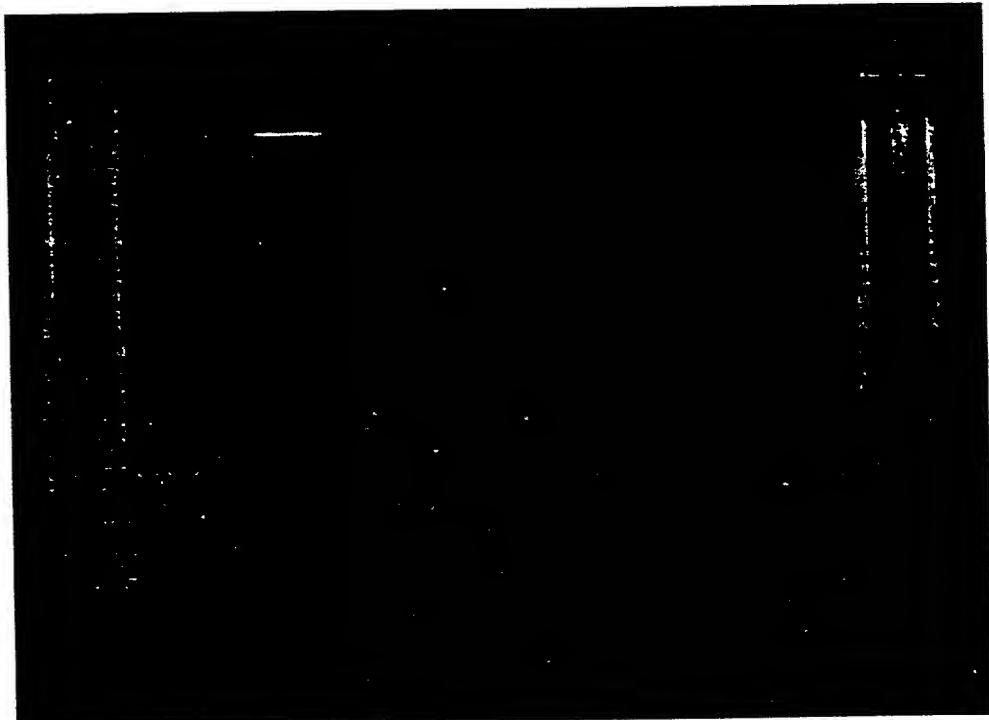


FIG. 8a &amp; 8b

FIG. 8b

t = 0 5 10 30 60 120 minutes



Correct Call Rates:

74%	95.8%	95.9%
95.9%	95.5%	83%

FIG. 9a

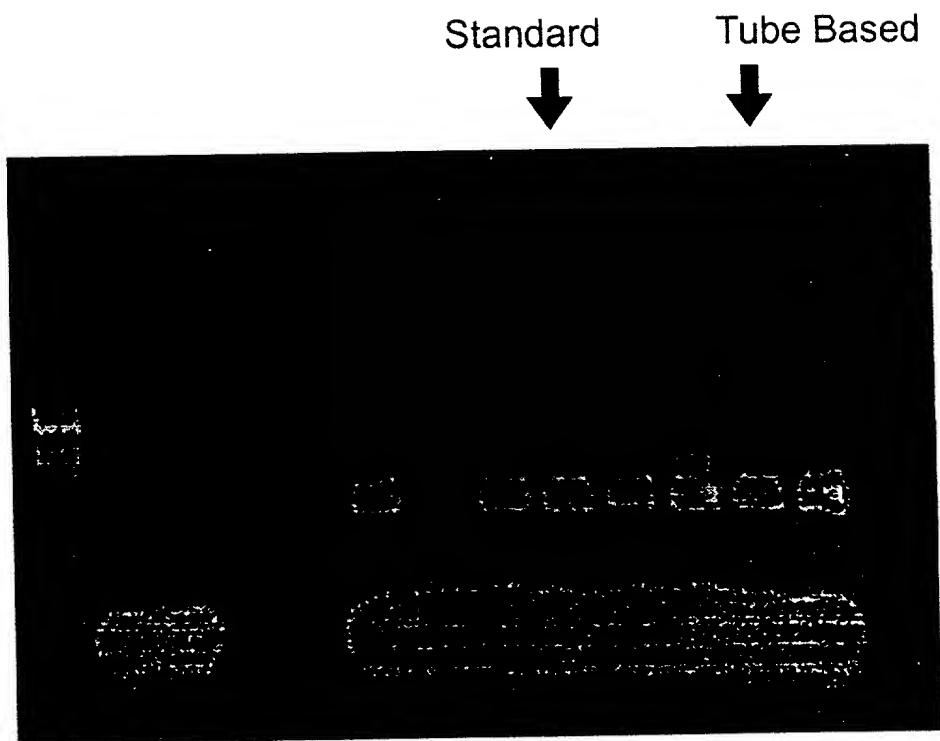


FIG. 9b

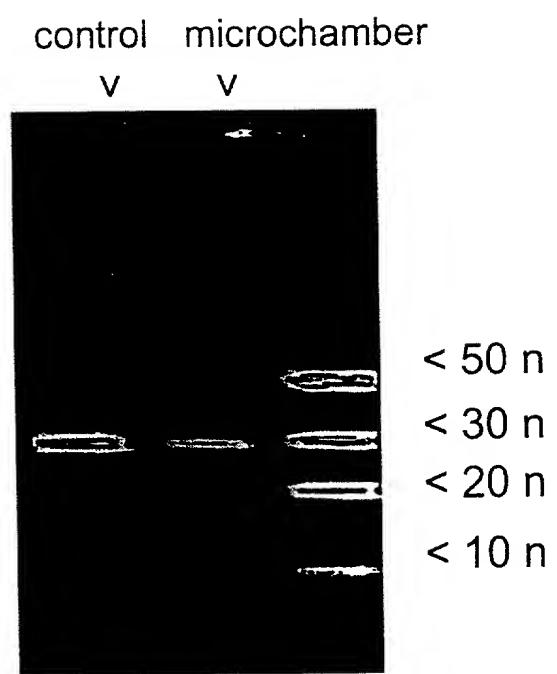


FIG. 9c

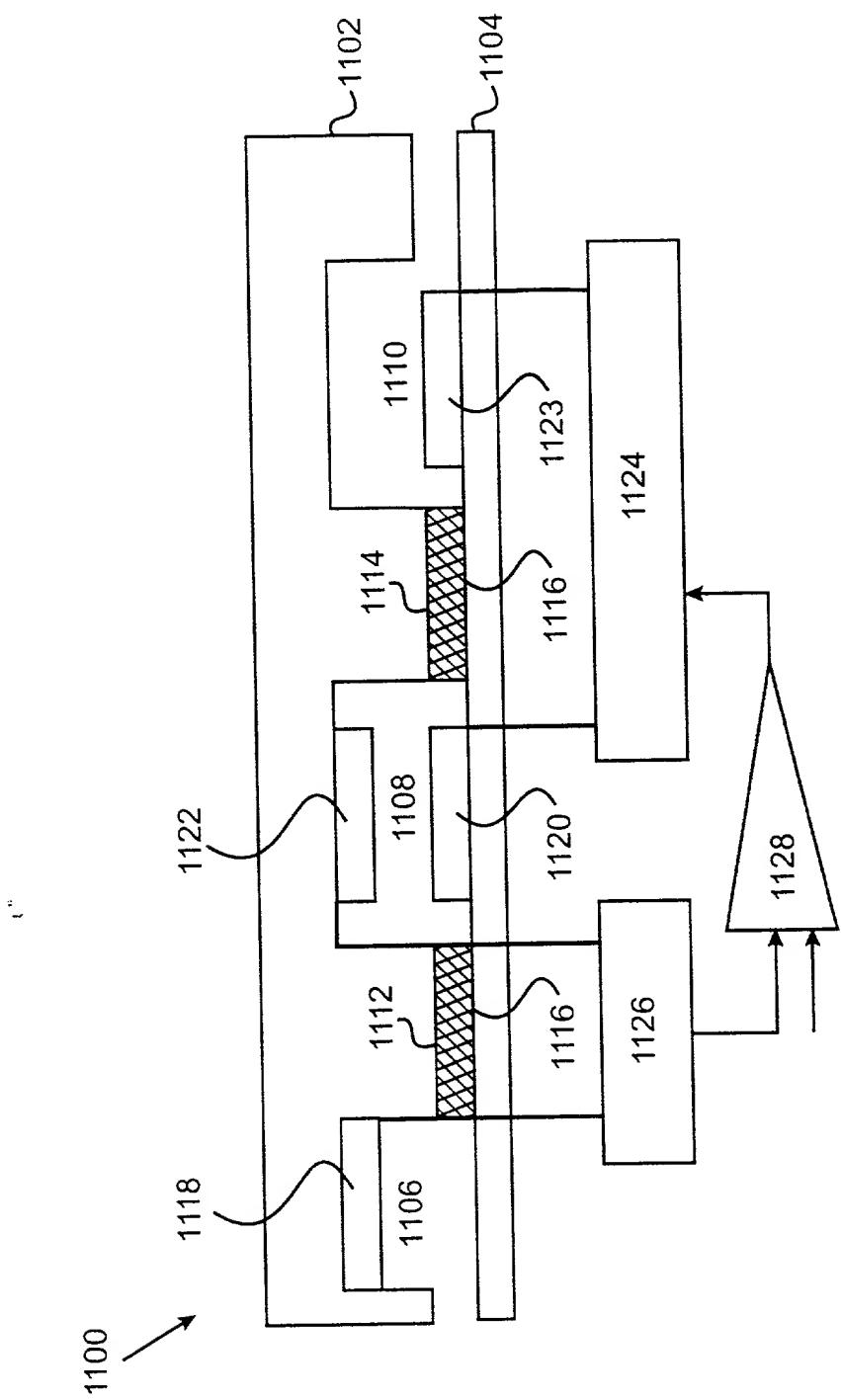


FIG. 10

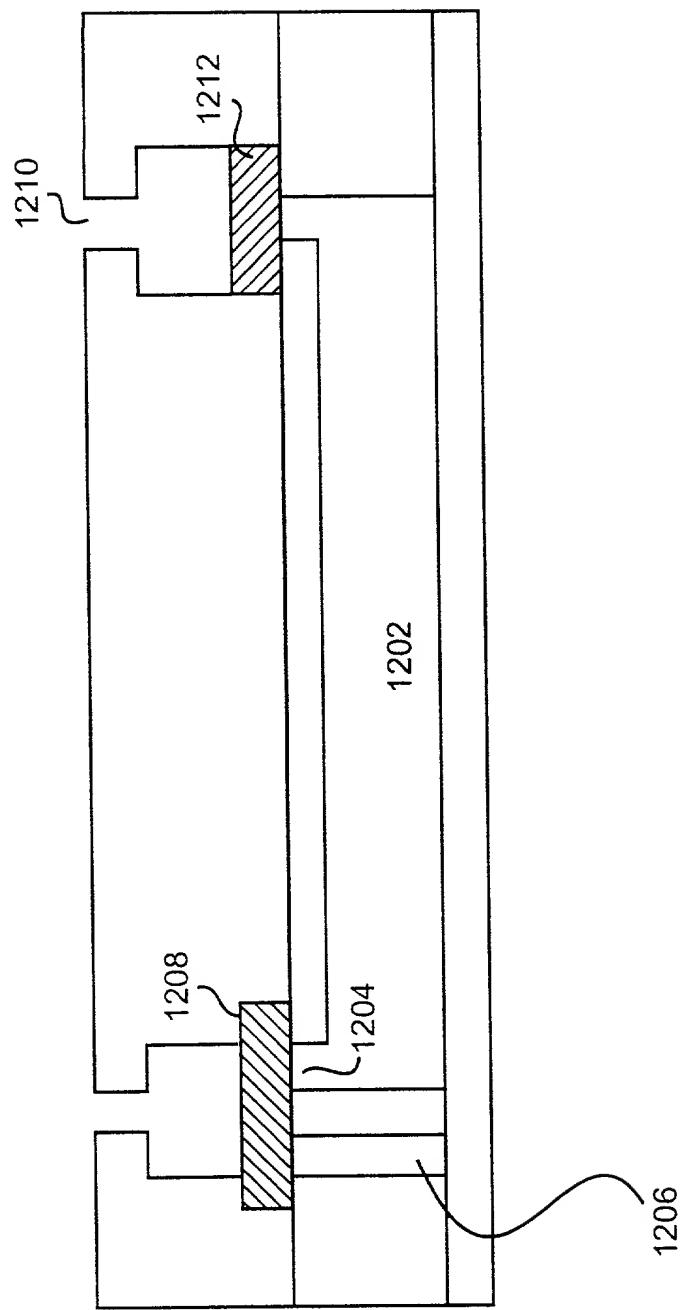


FIG. 11A

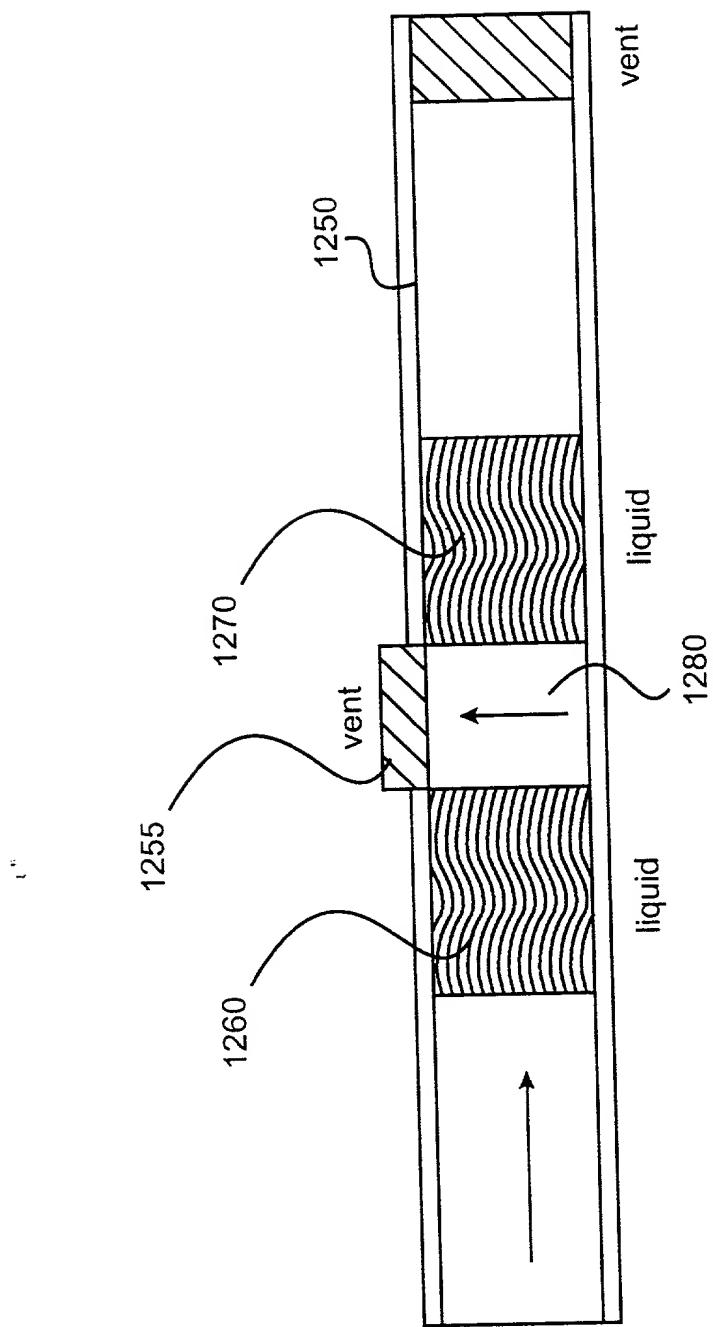


FIG. 11b

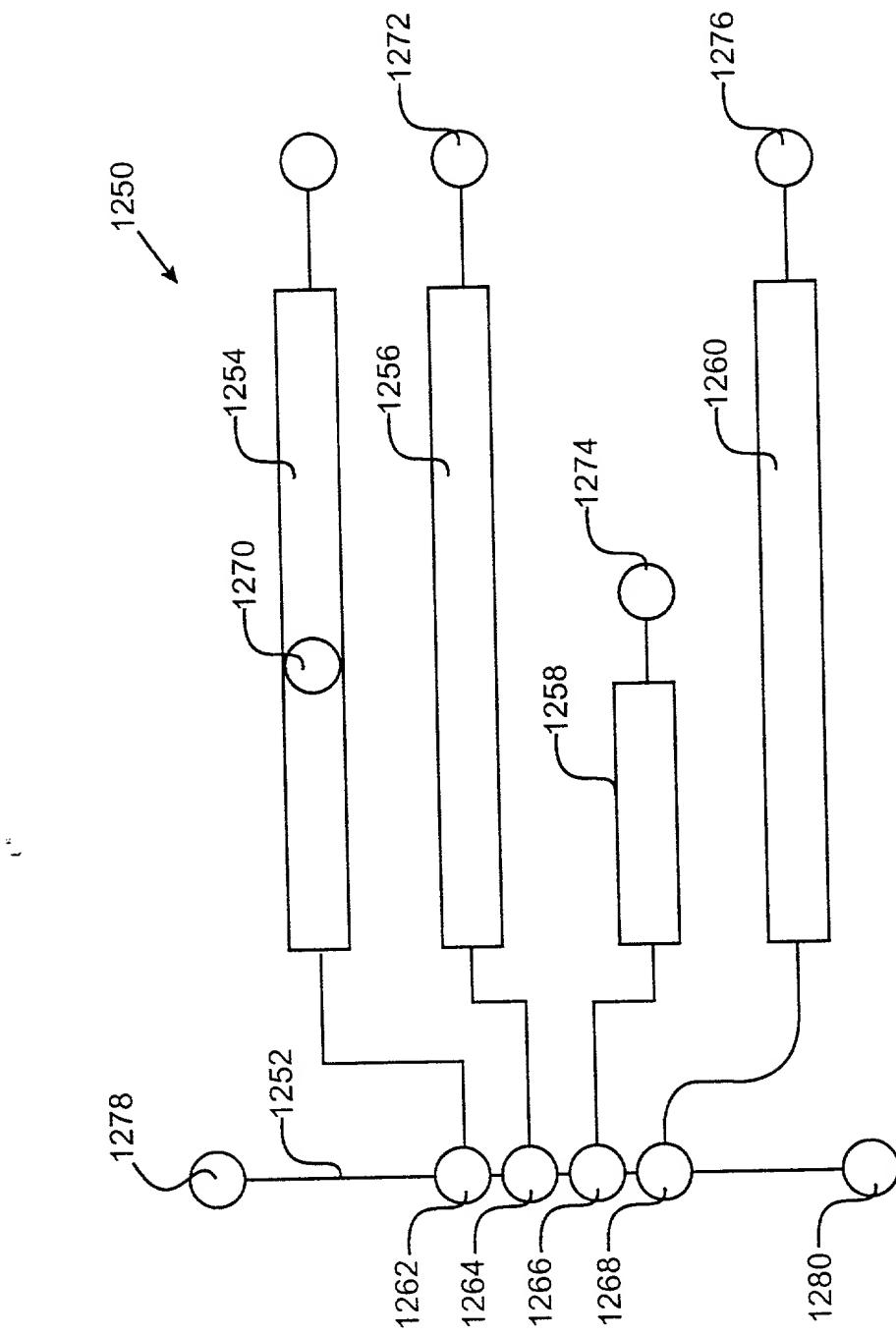


FIG. 11c

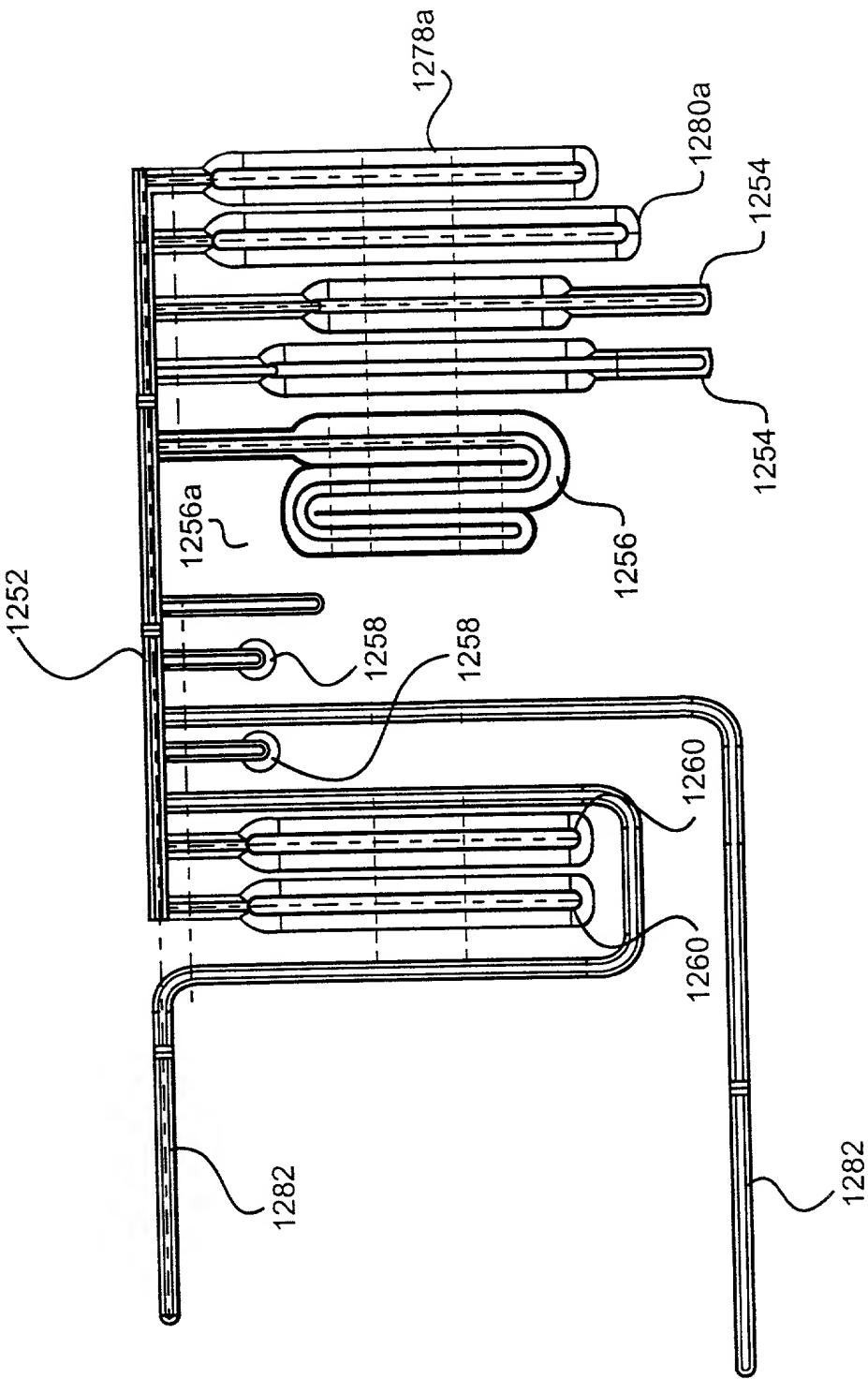


FIG. 11d

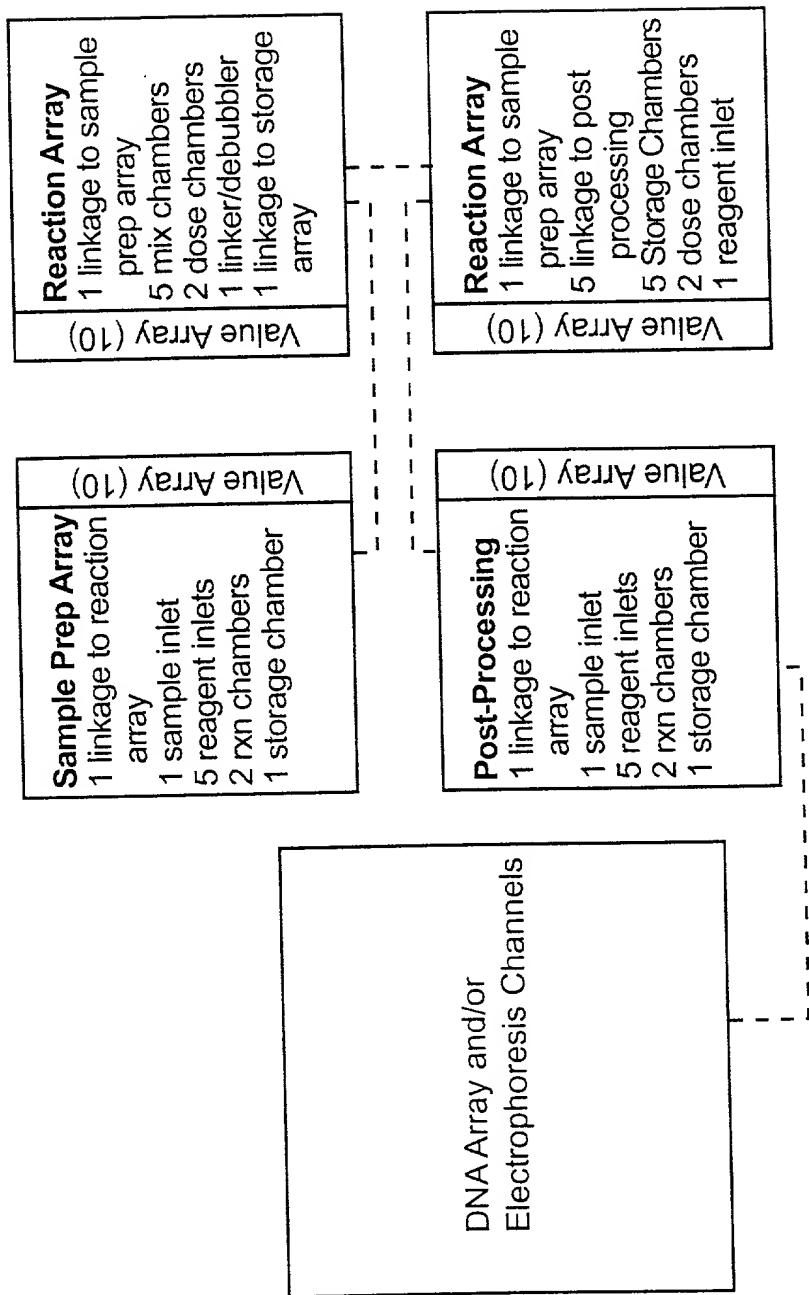


FIG. 12

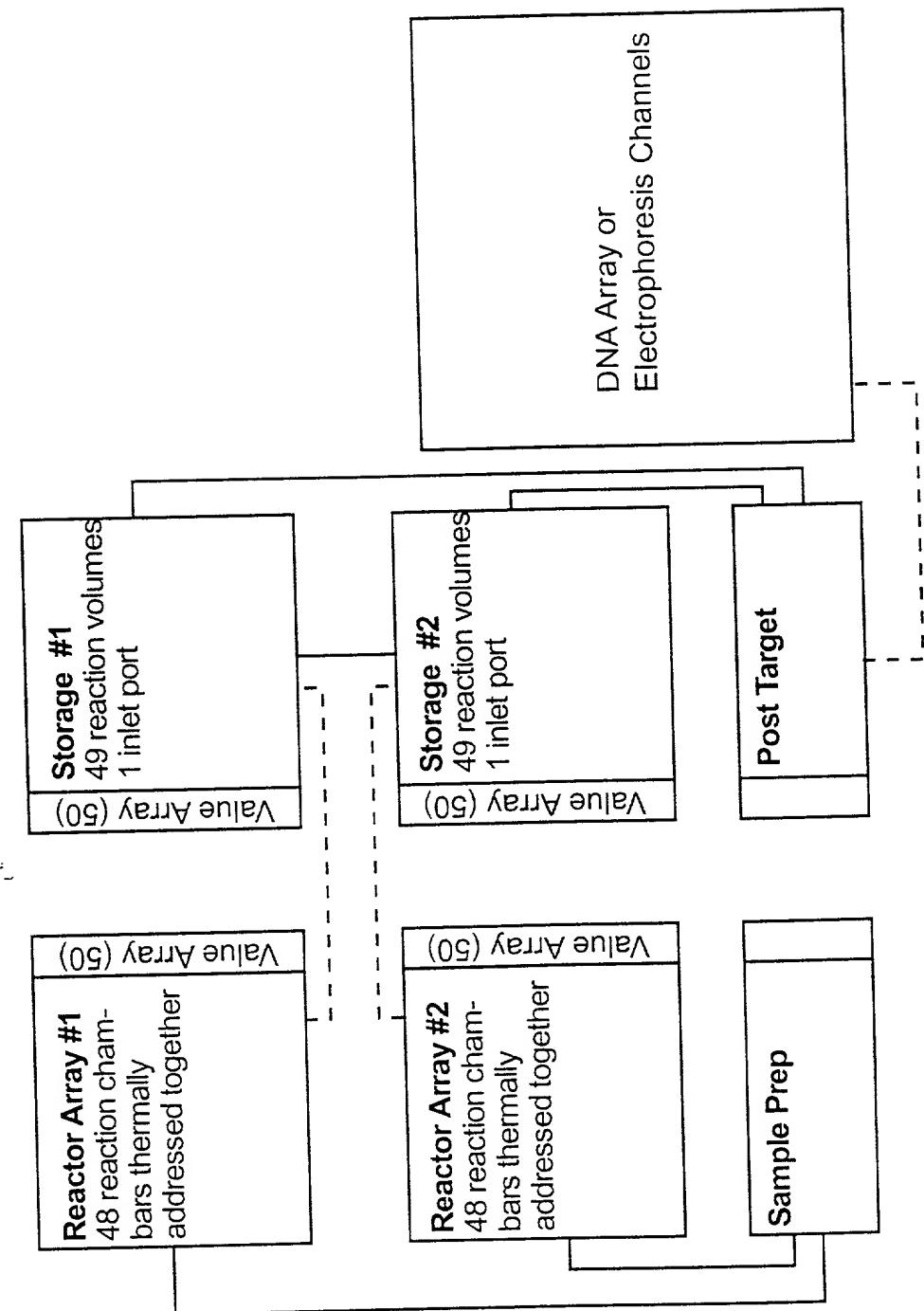


FIG. 13

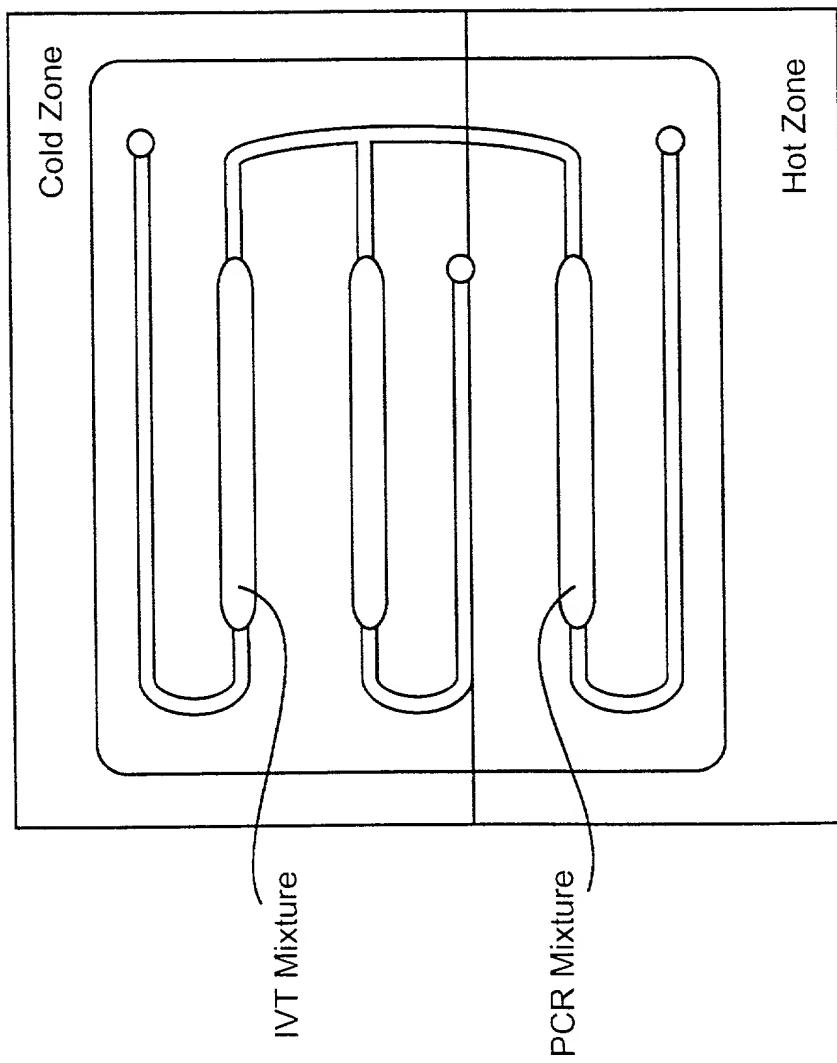


FIG. 14a

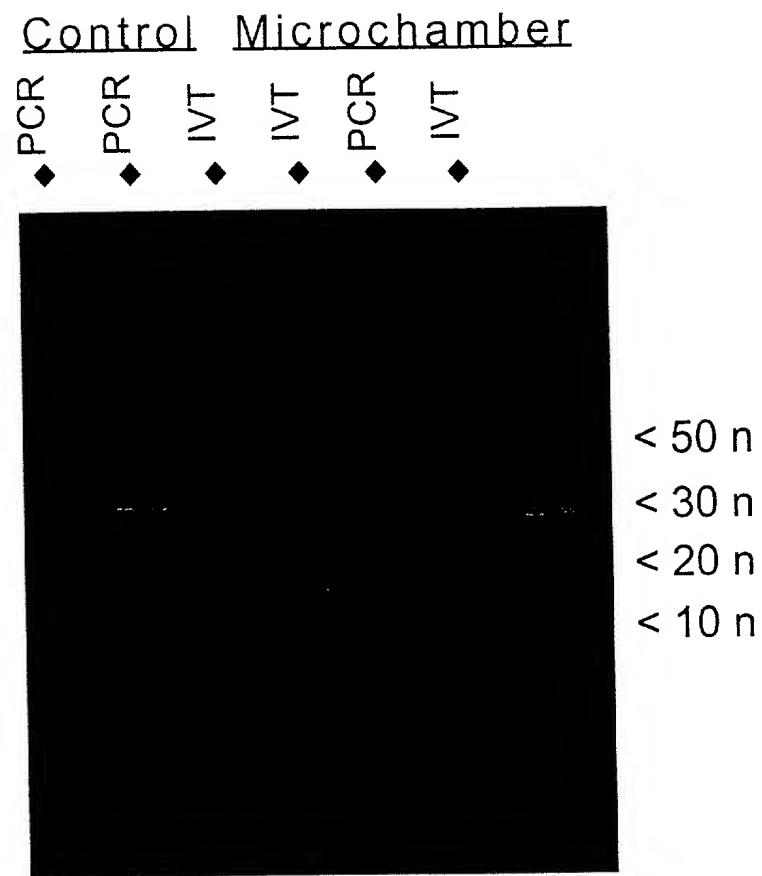


FIG. 14b

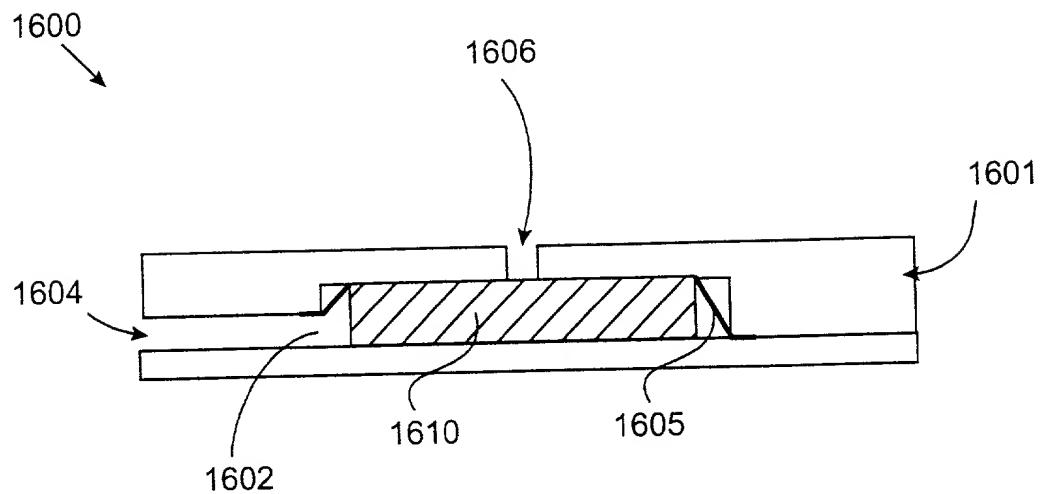


FIG. 15

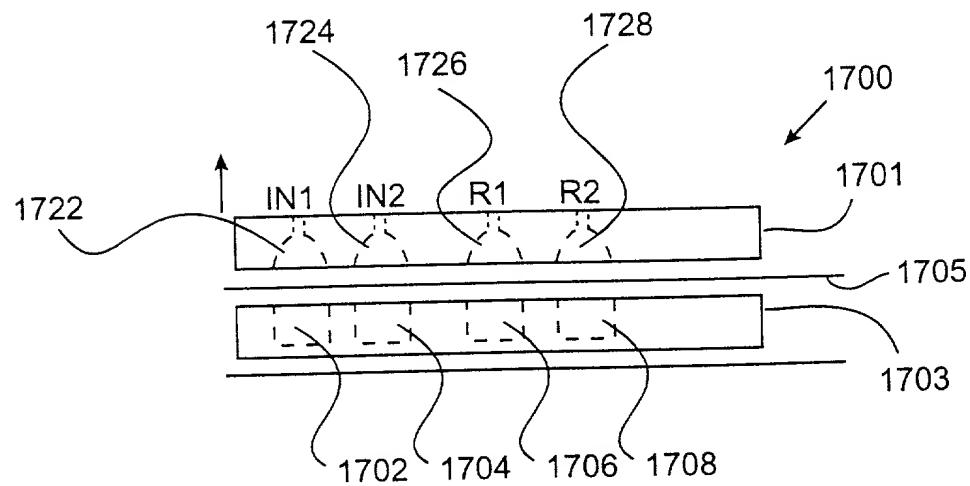


FIG. 16

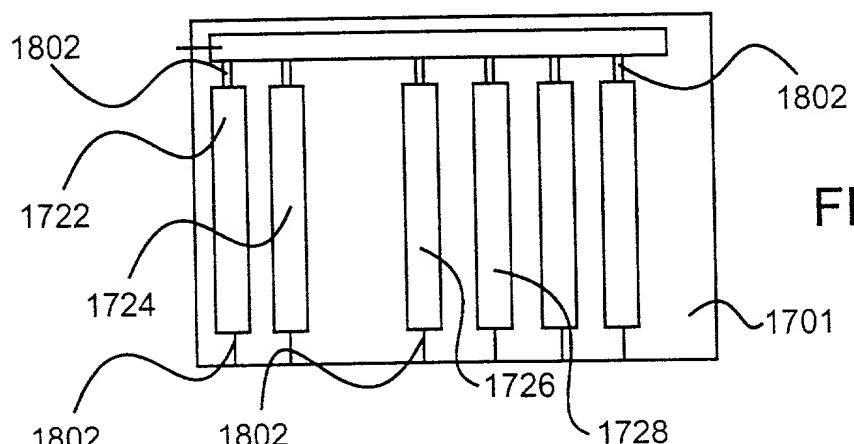
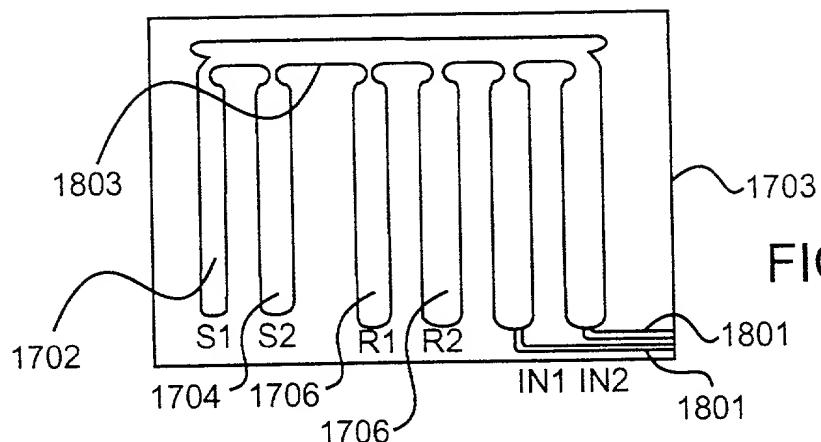
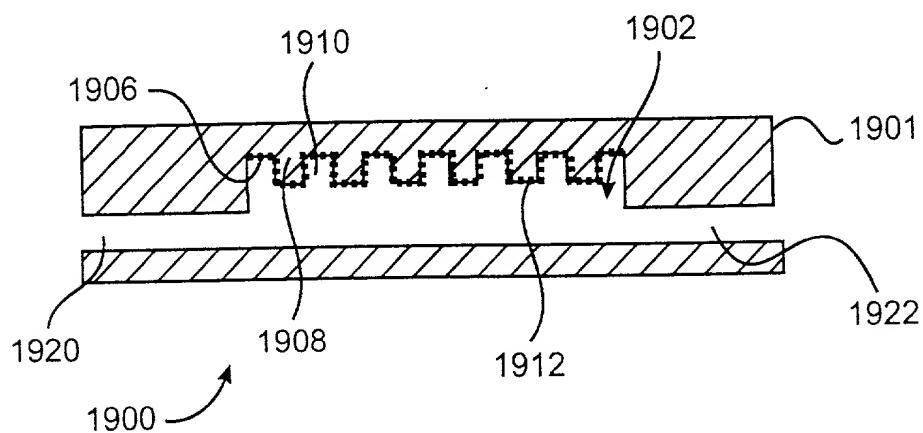


FIG. 17



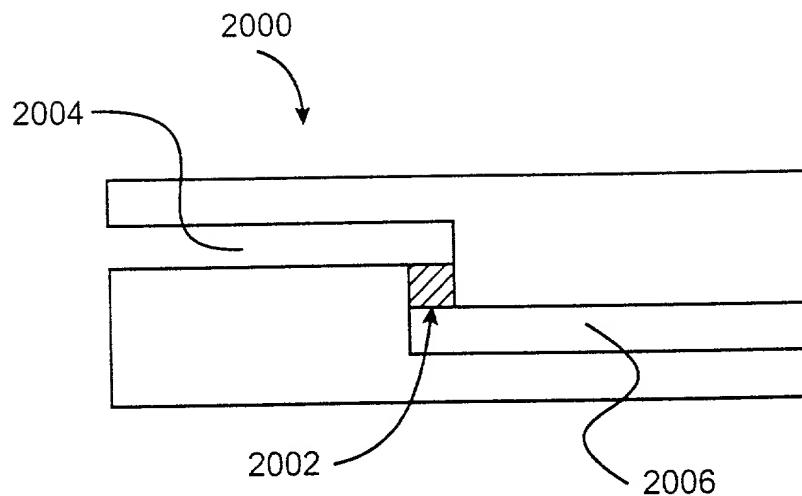


FIG. 19

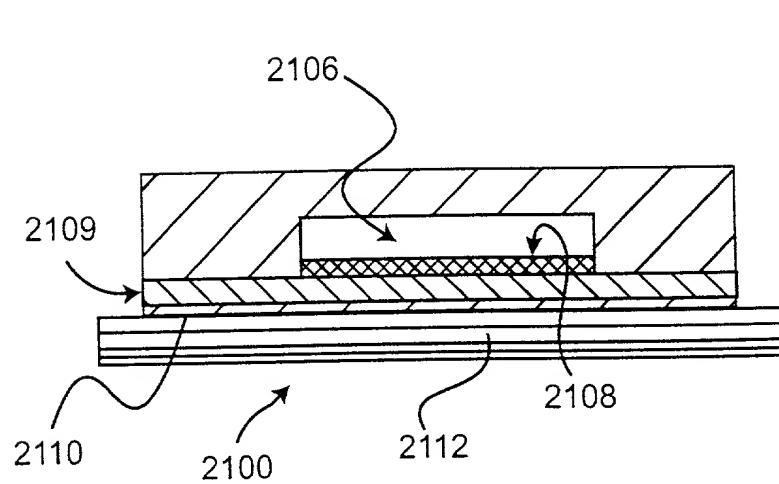


FIG. 20

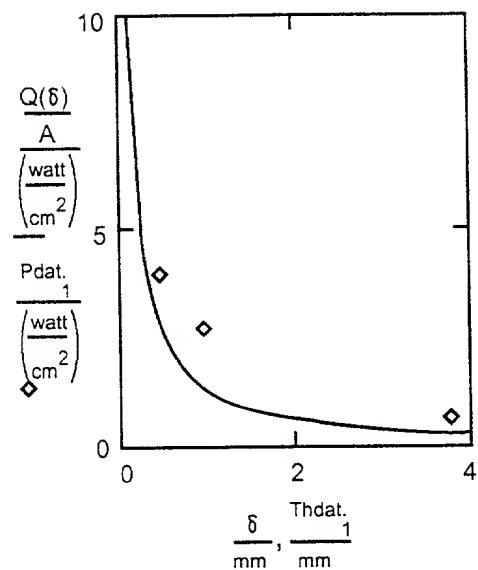


FIG. 21a

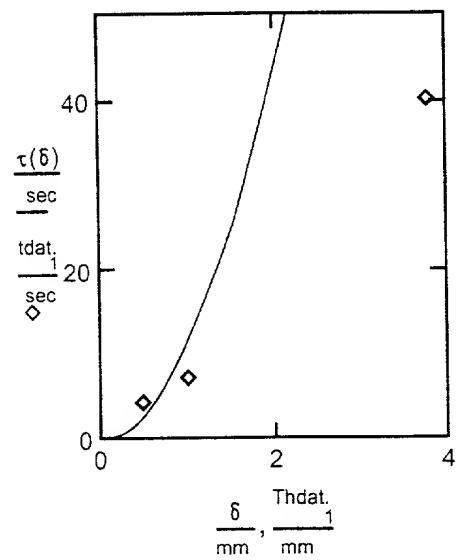


FIG. 21b

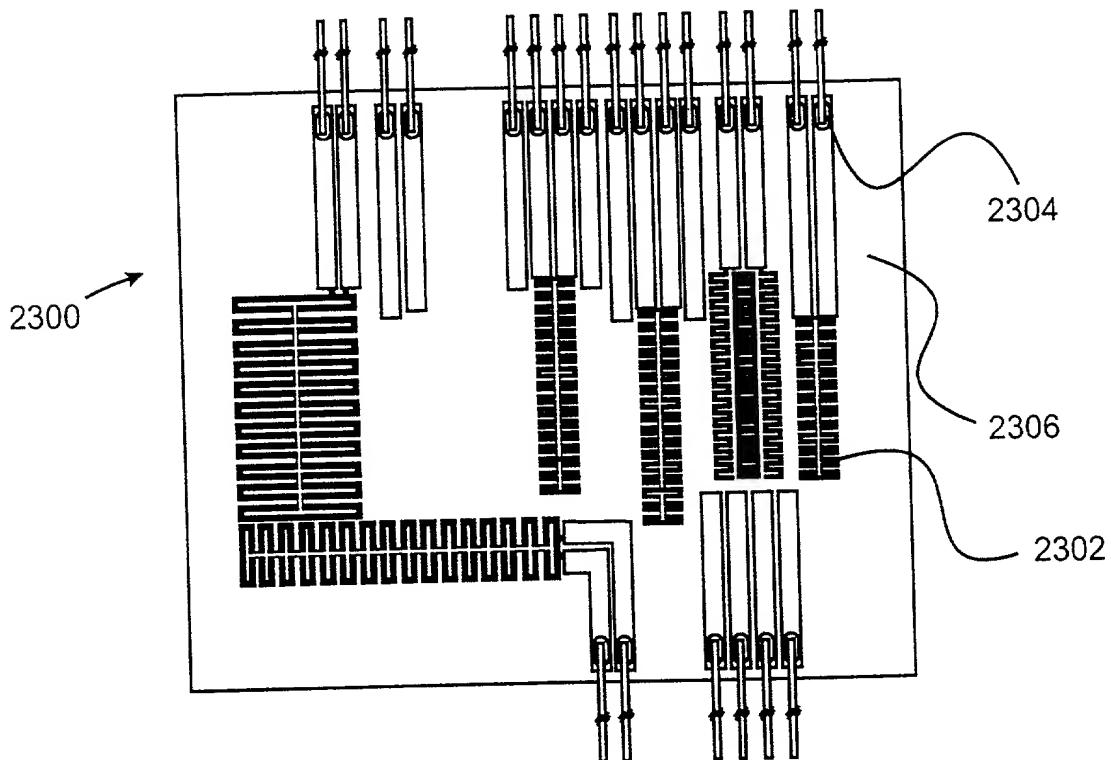


FIG. 22

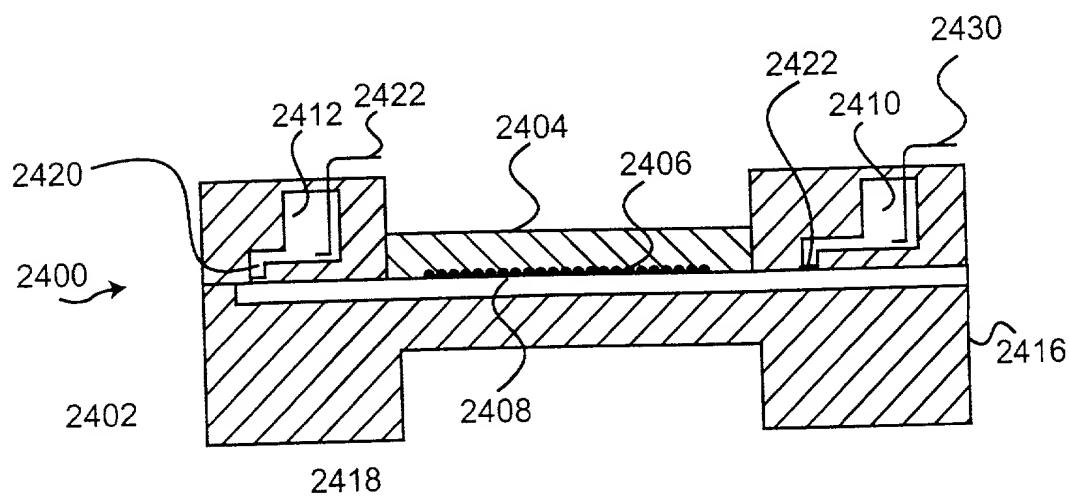


FIG. 23

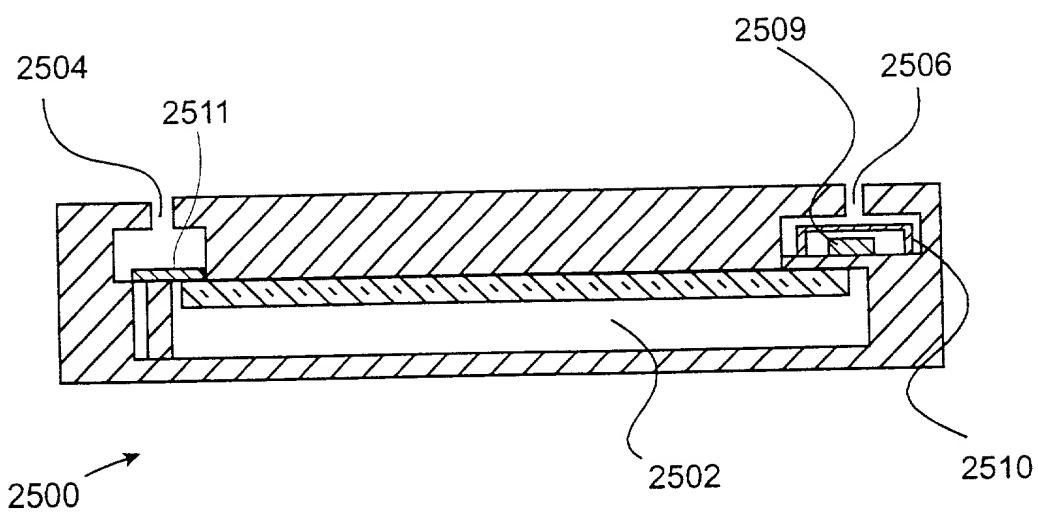


FIG. 24

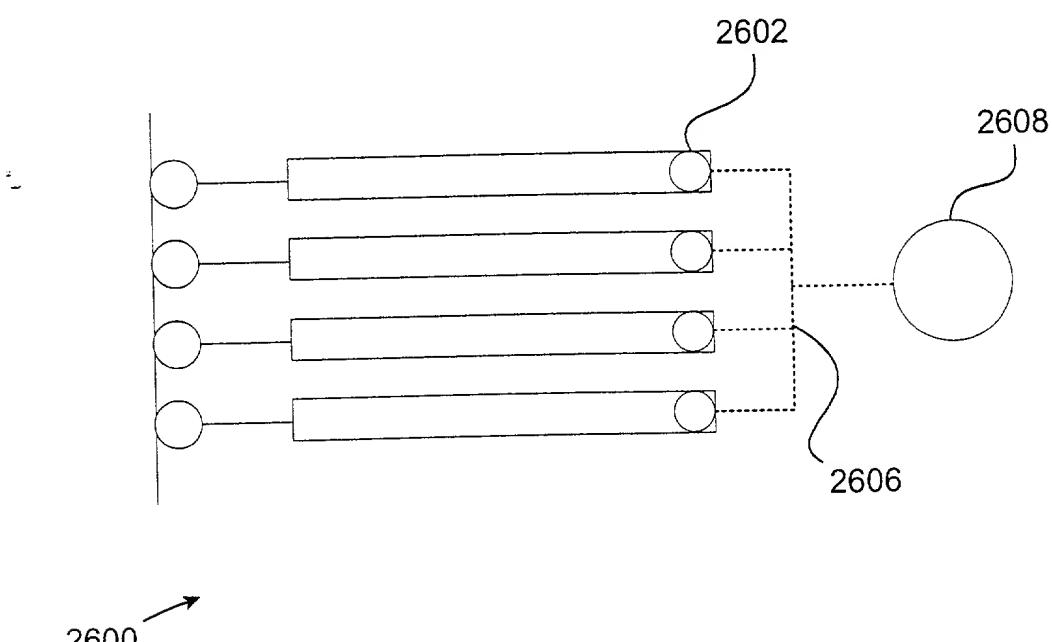


FIG. 25

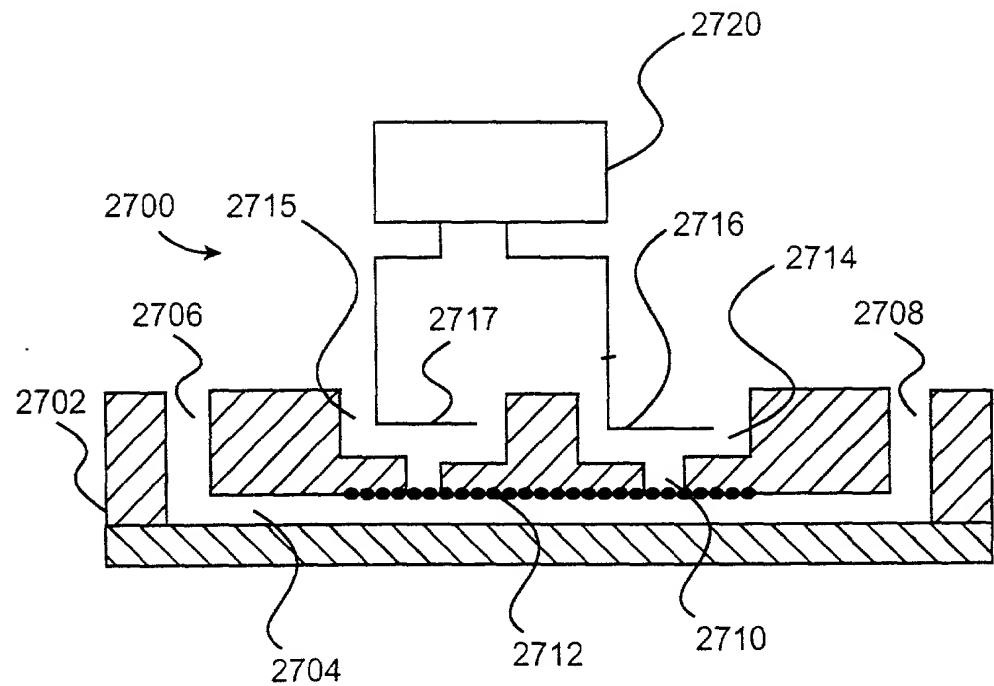


FIG. 26

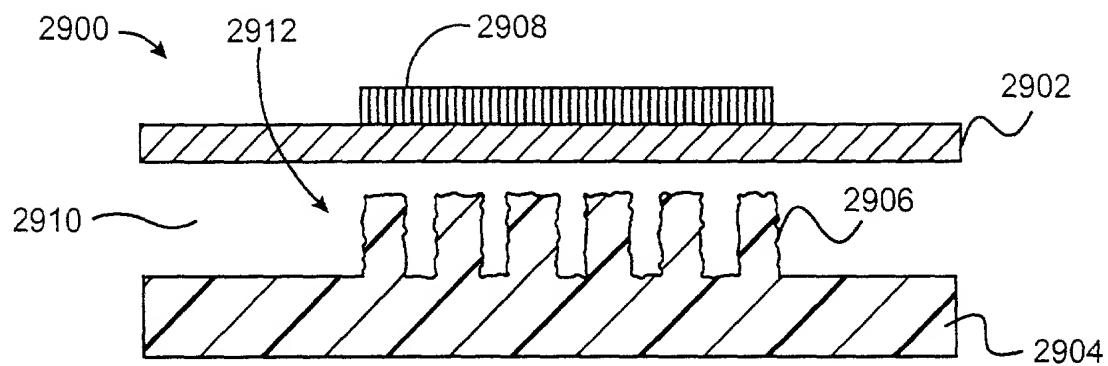


FIG. 27

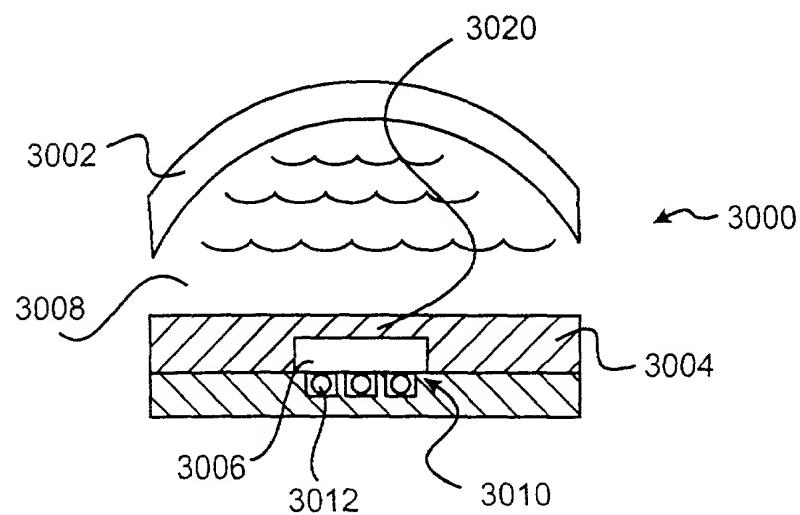


FIG. 28

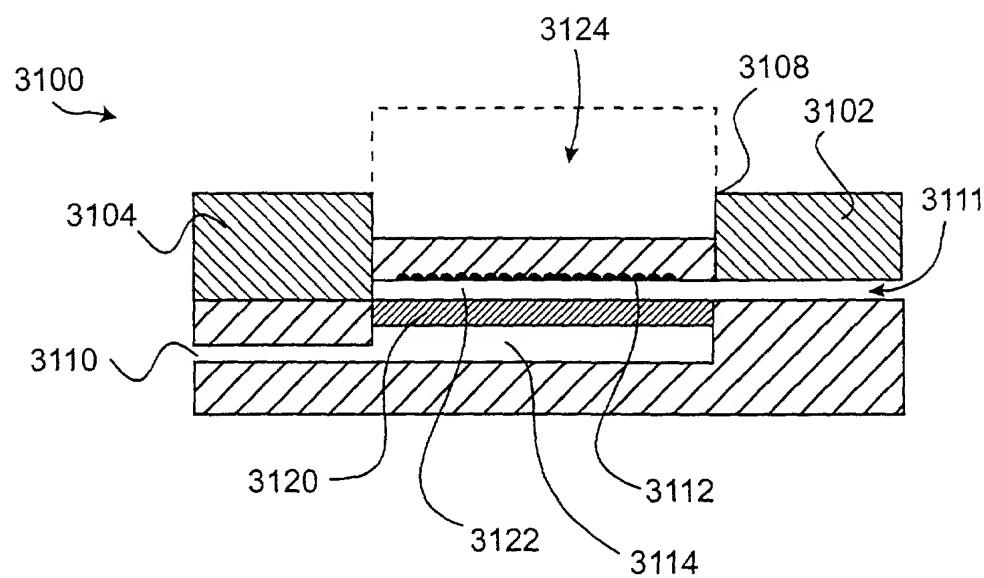


FIG. 29

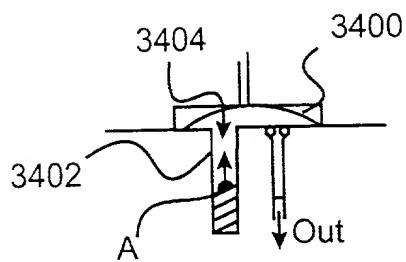


FIG. 30a

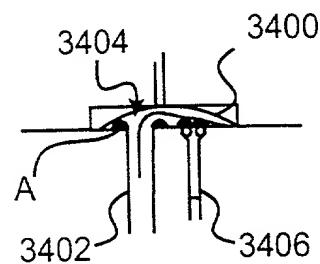


FIG. 30b

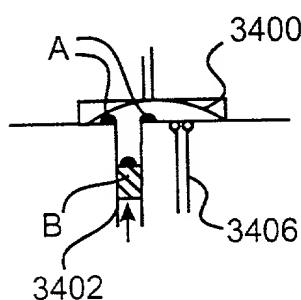


FIG. 30c

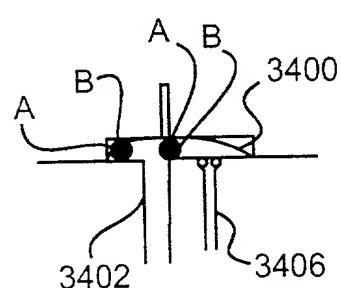


FIG. 30d

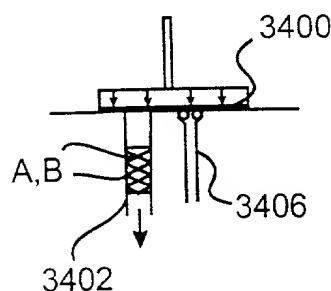


FIG. 30e

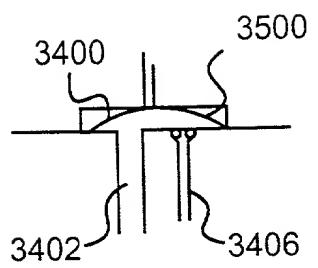


FIG. 31a

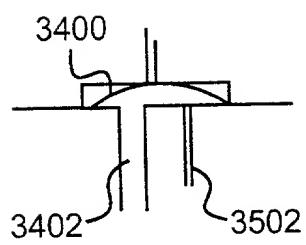


FIG. 31b

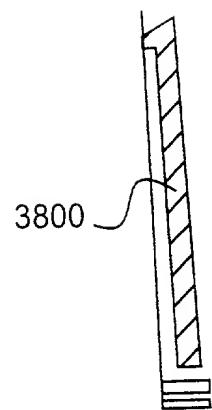


FIG. 32a

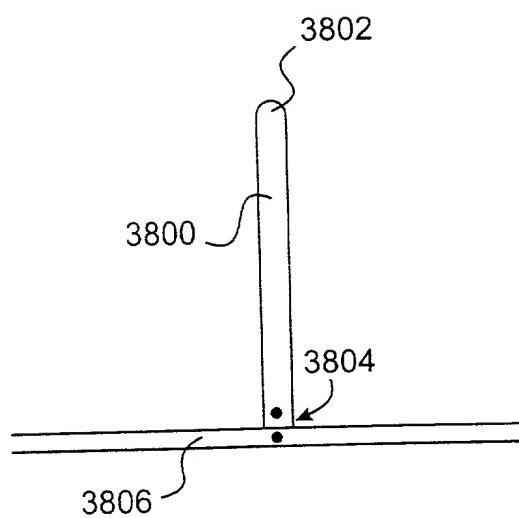


FIG. 32b

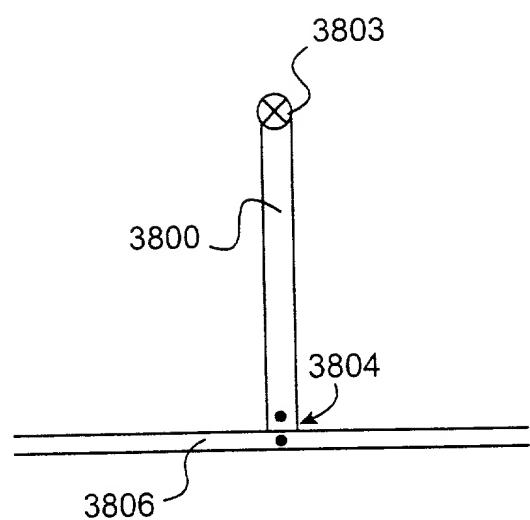


FIG. 32c

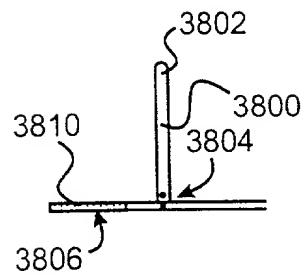


FIG. 33a

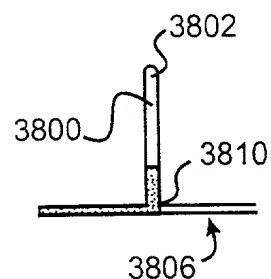


FIG. 33b

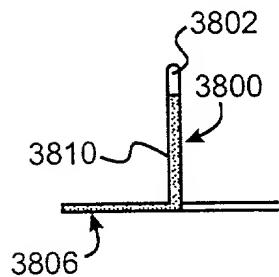


FIG. 33c

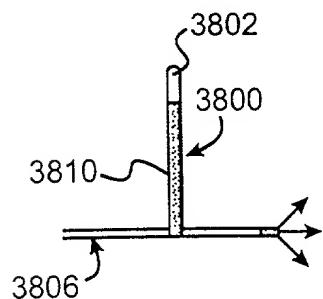


FIG. 33d

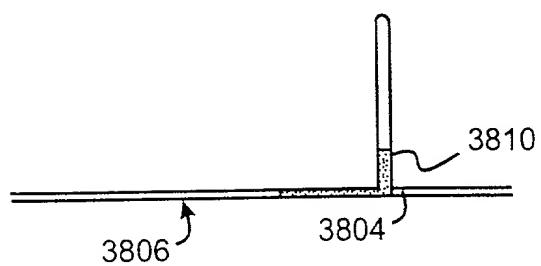


FIG. 33e

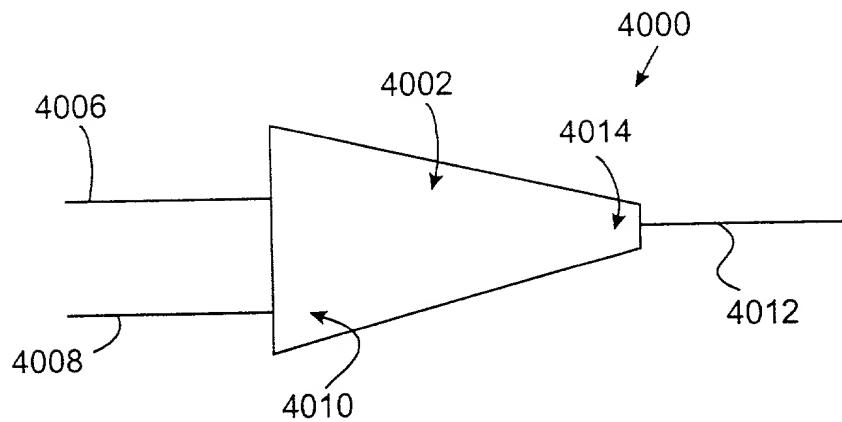


FIG. 34

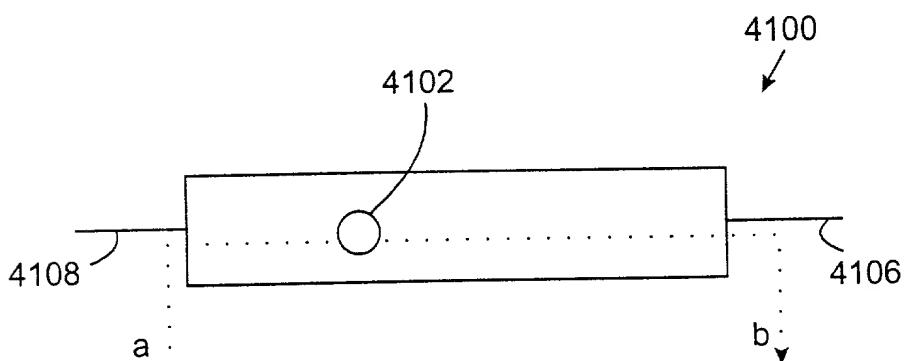


FIG. 35a

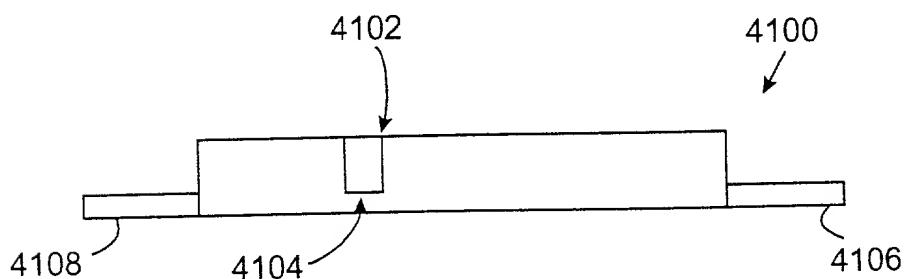


FIG. 35b

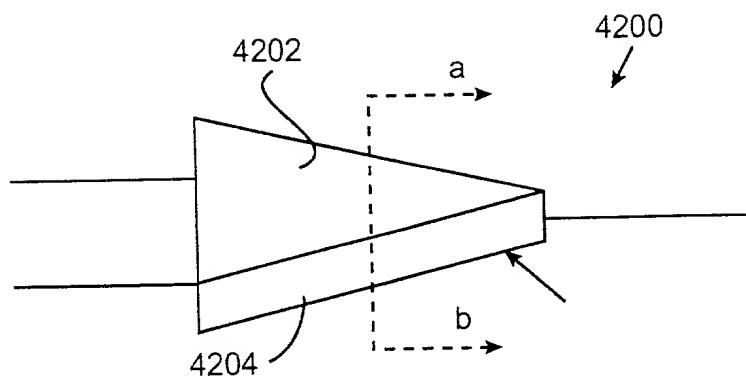


FIG. 36a

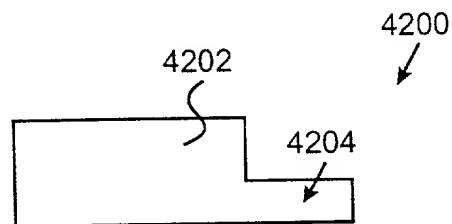


FIG. 36b

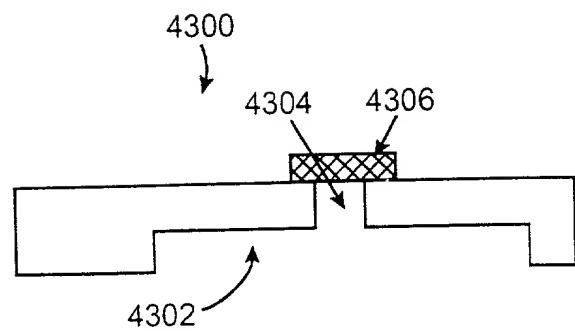


FIG. 37a

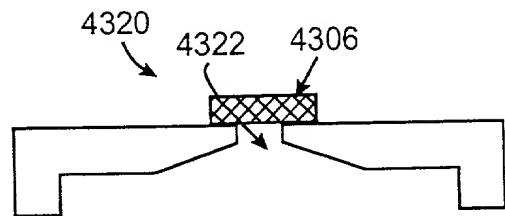


FIG. 37b

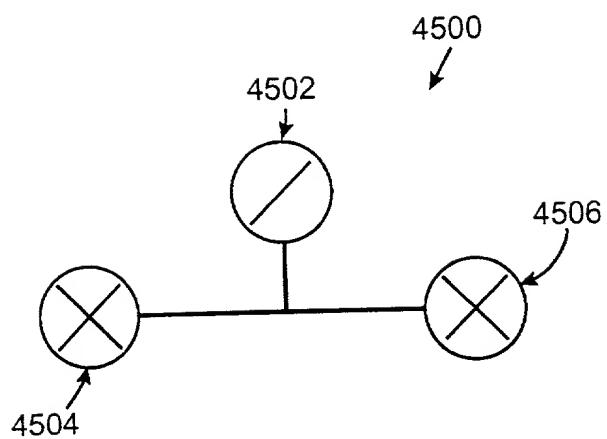


FIG. 38

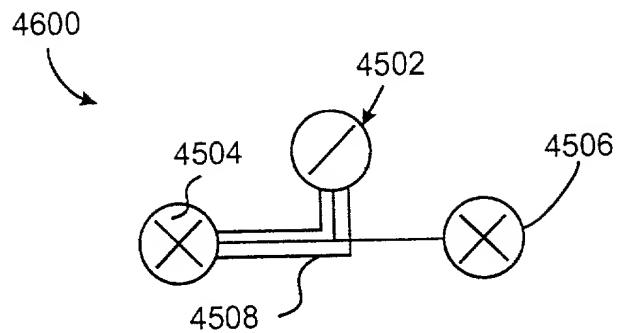


FIG. 39a

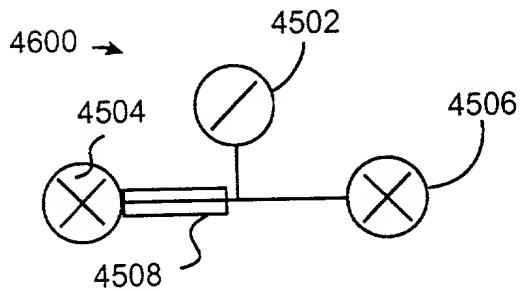


FIG. 39b

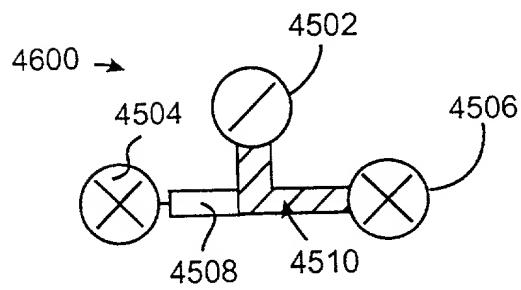


FIG. 39c

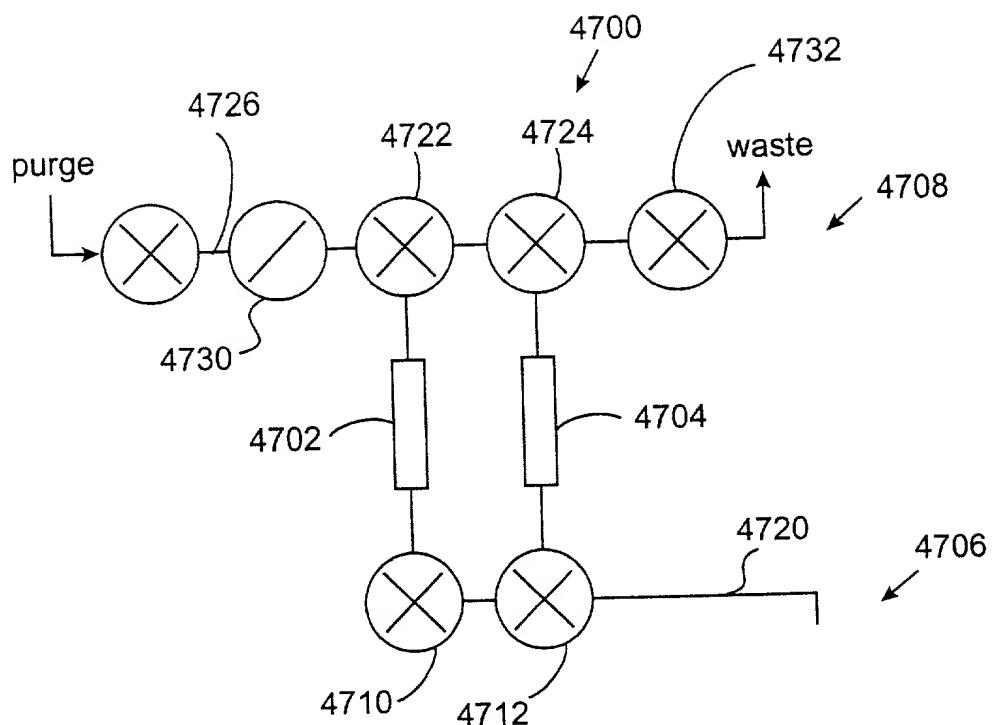


FIG. 40

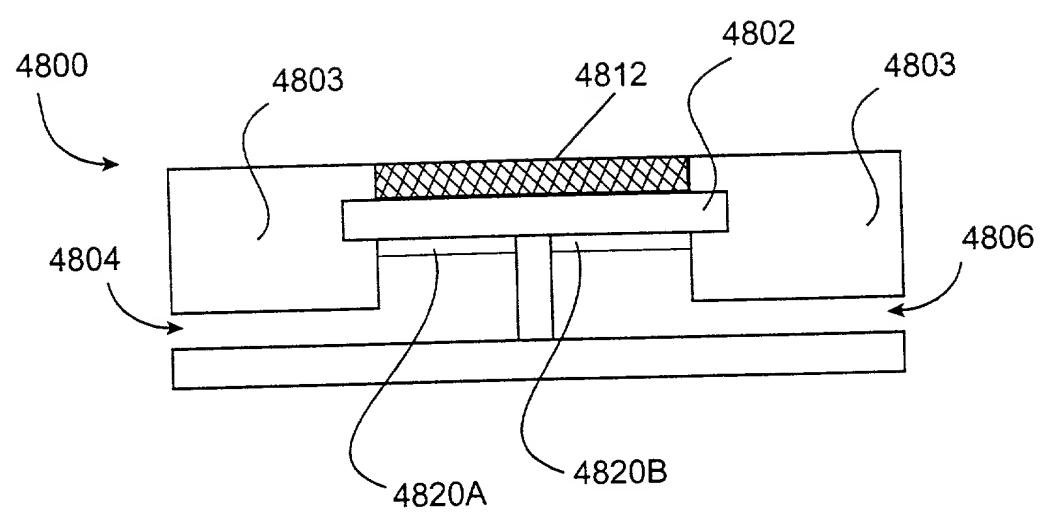


FIG. 41

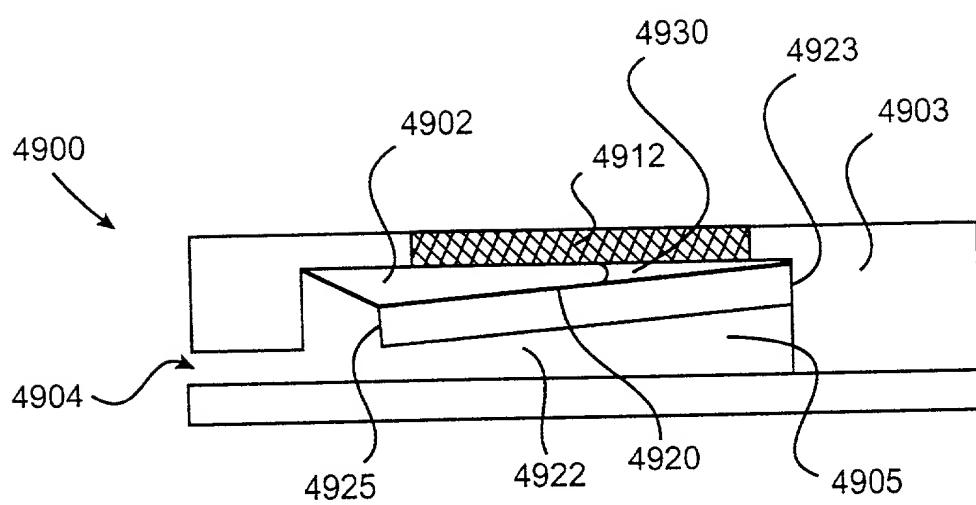


FIG. 42

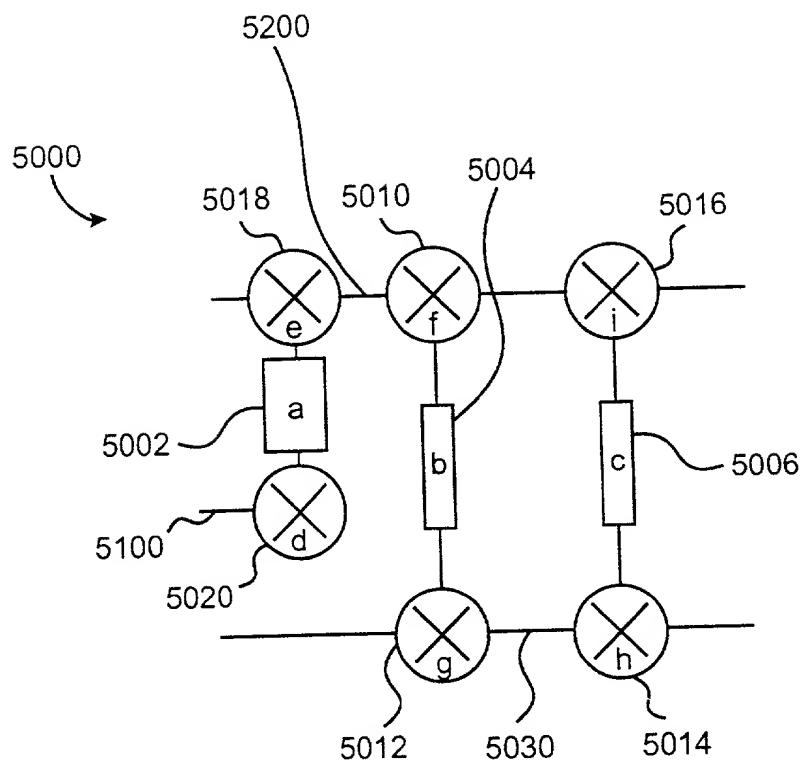


FIG. 43

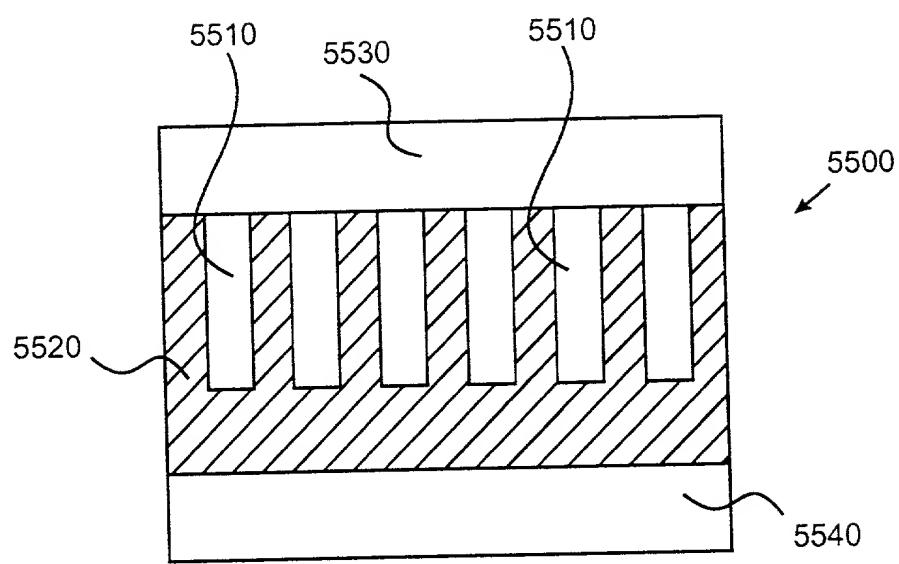


FIG. 44a

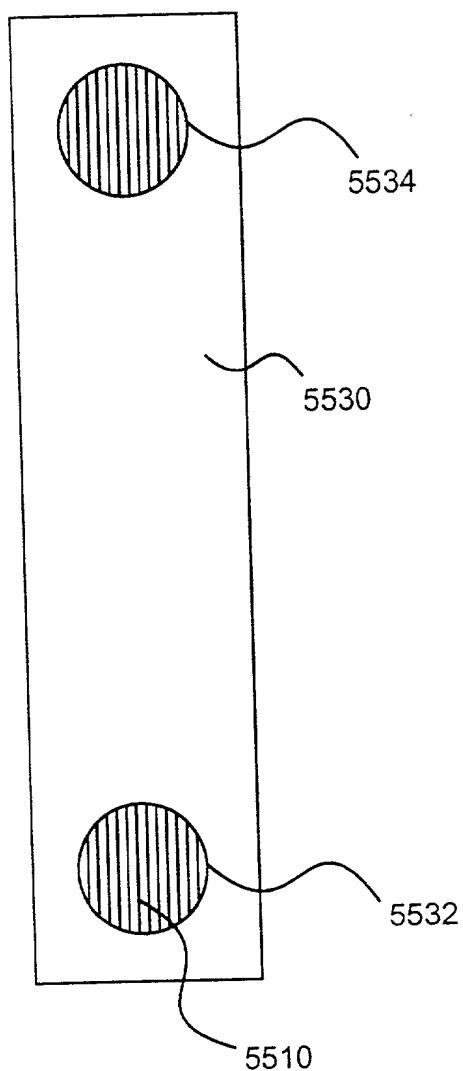


FIG. 44b

## Transient heating in water

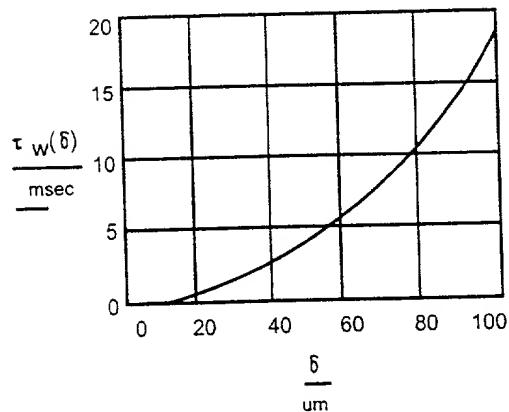


FIG. 44c

Half-gap required in flow-through heating structure  
(water,  $L=1$  mm,  $w=10, 100, 500$  μm)

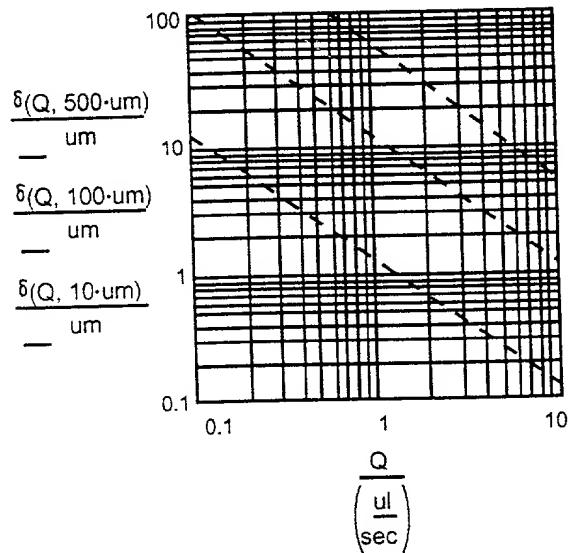


FIG. 44d

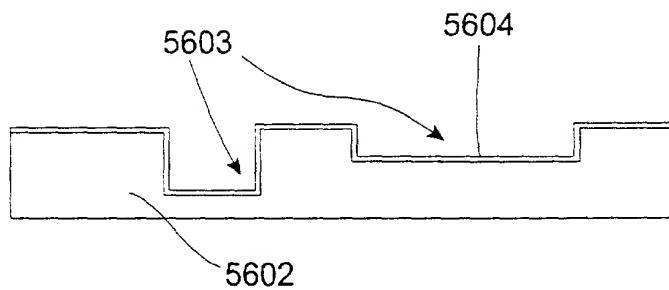


FIG. 45a

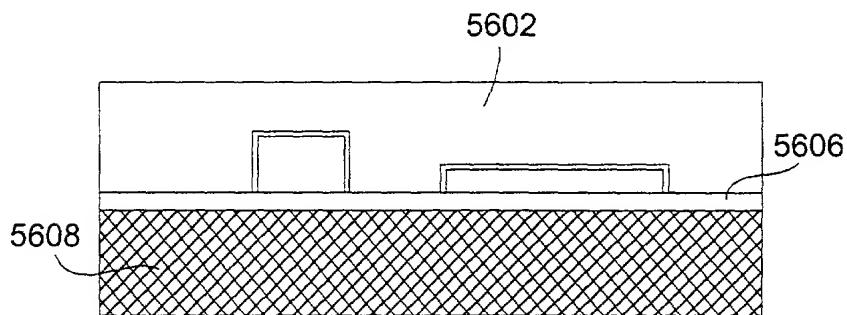


FIG. 45b

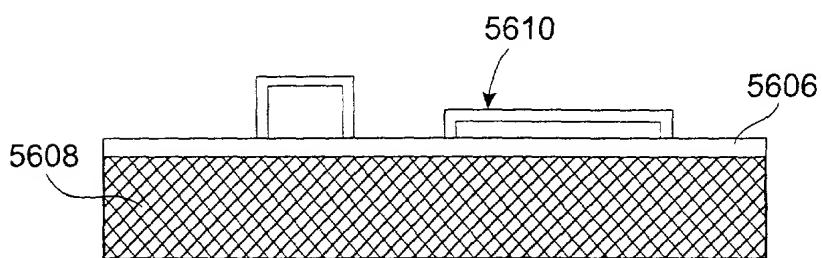


FIG. 45c

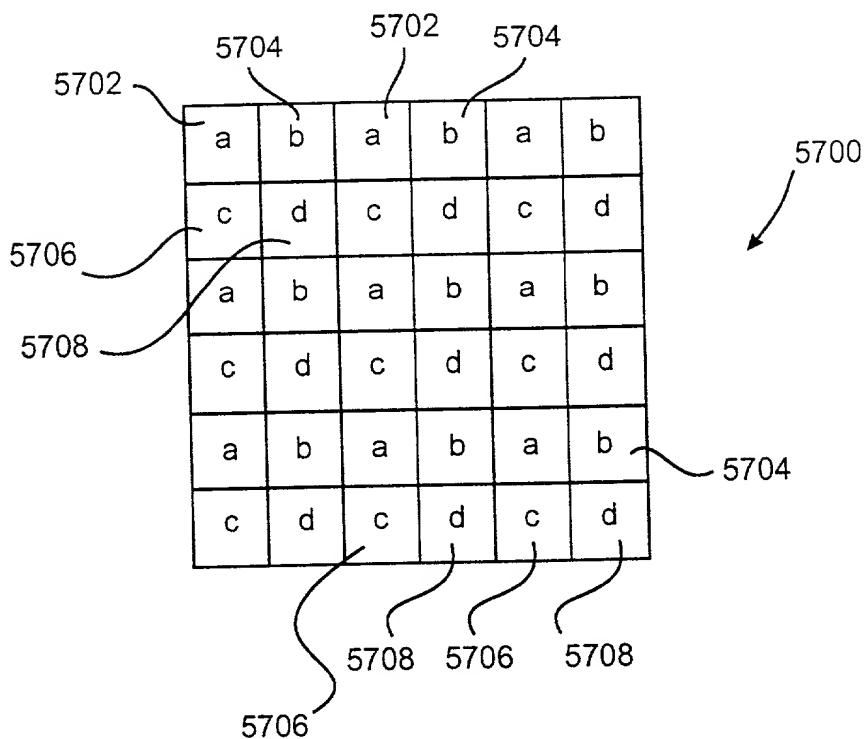


FIG. 46a

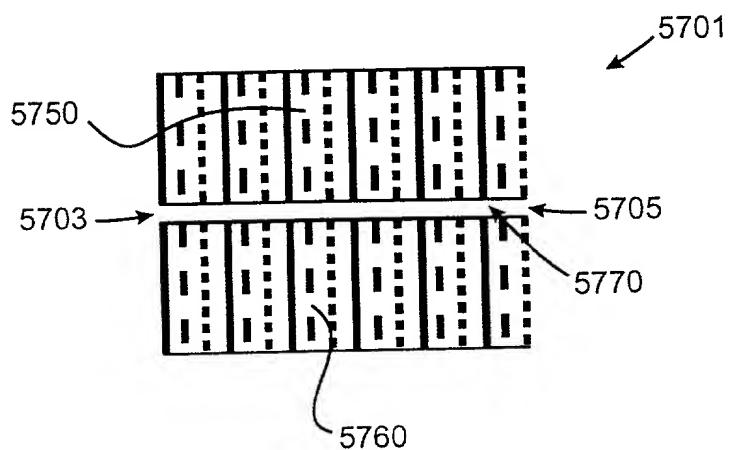


FIG. 46b

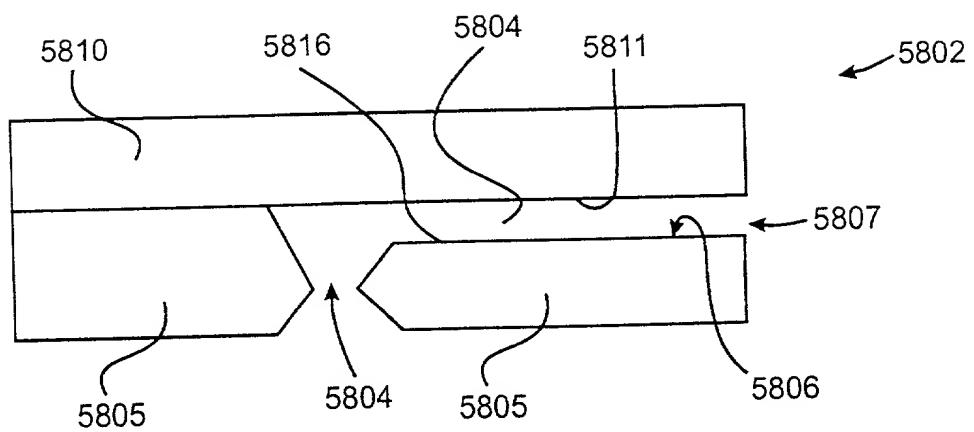


FIG. 47a

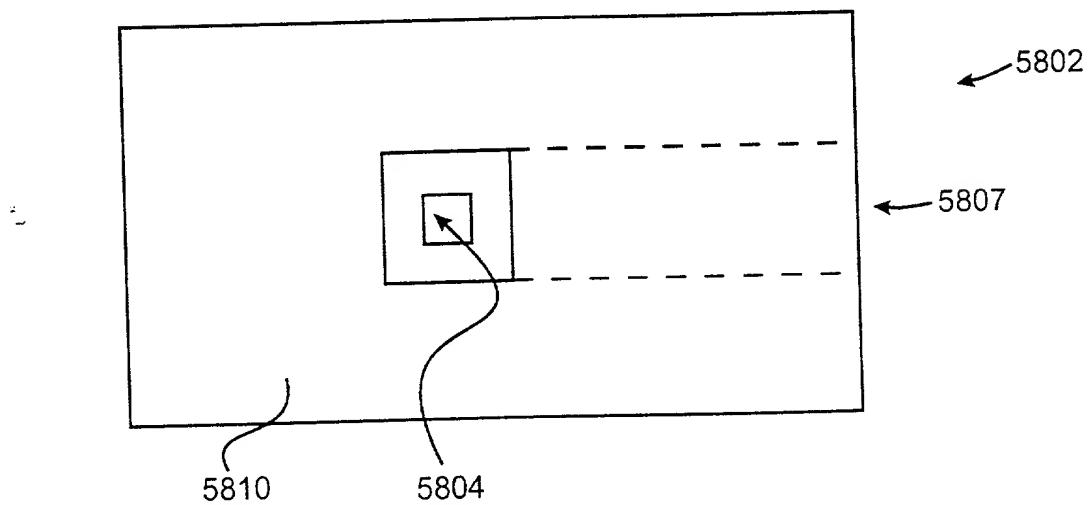


FIG. 47b

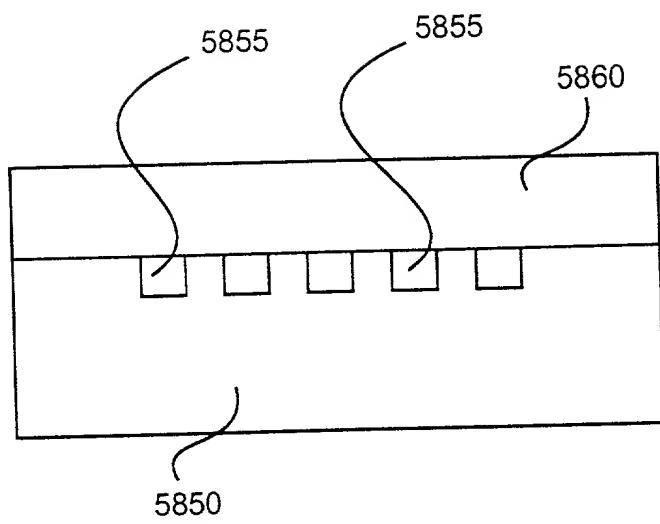


FIG. 47c

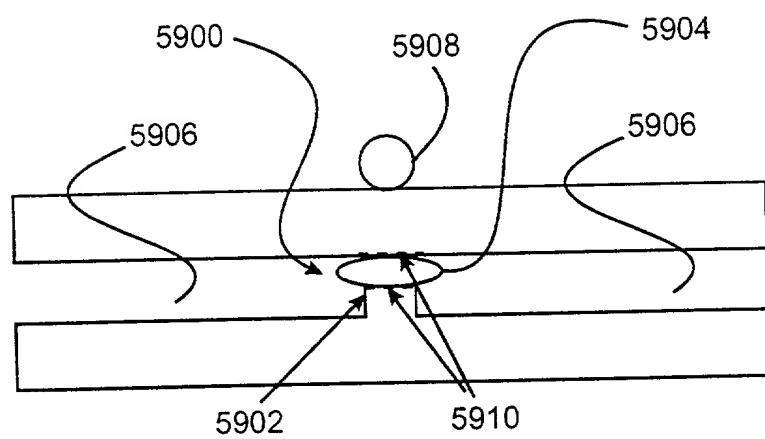


FIG. 48

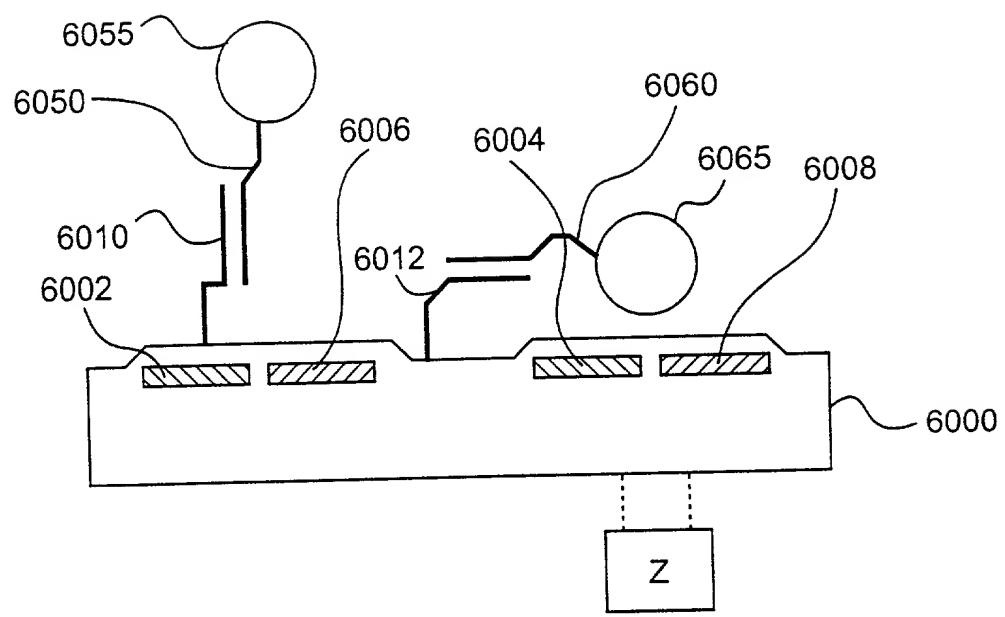


FIG. 49

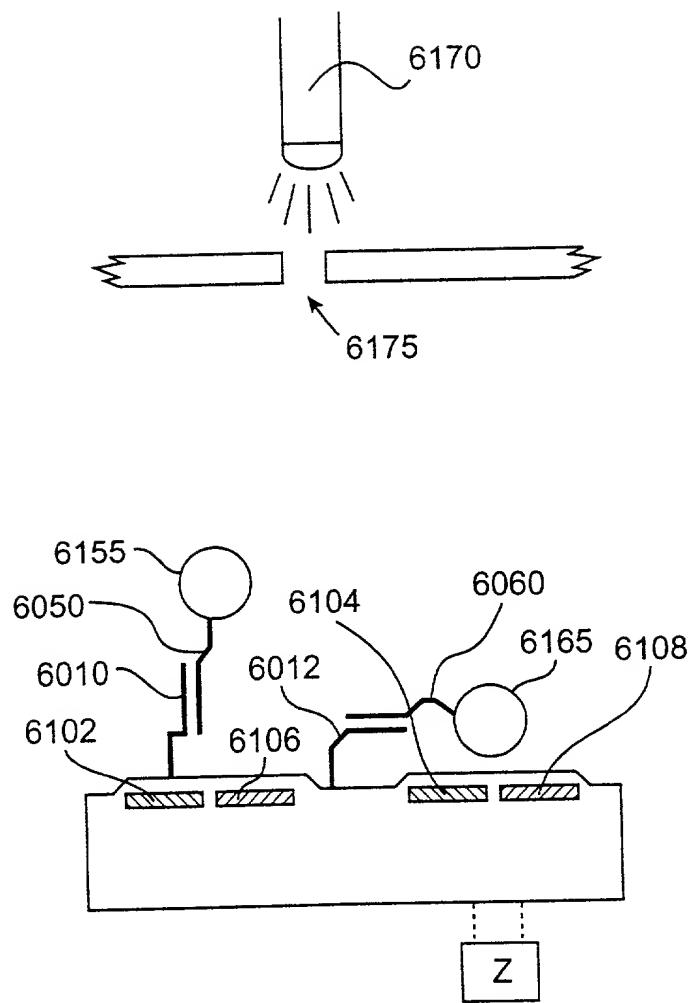


FIG. 50

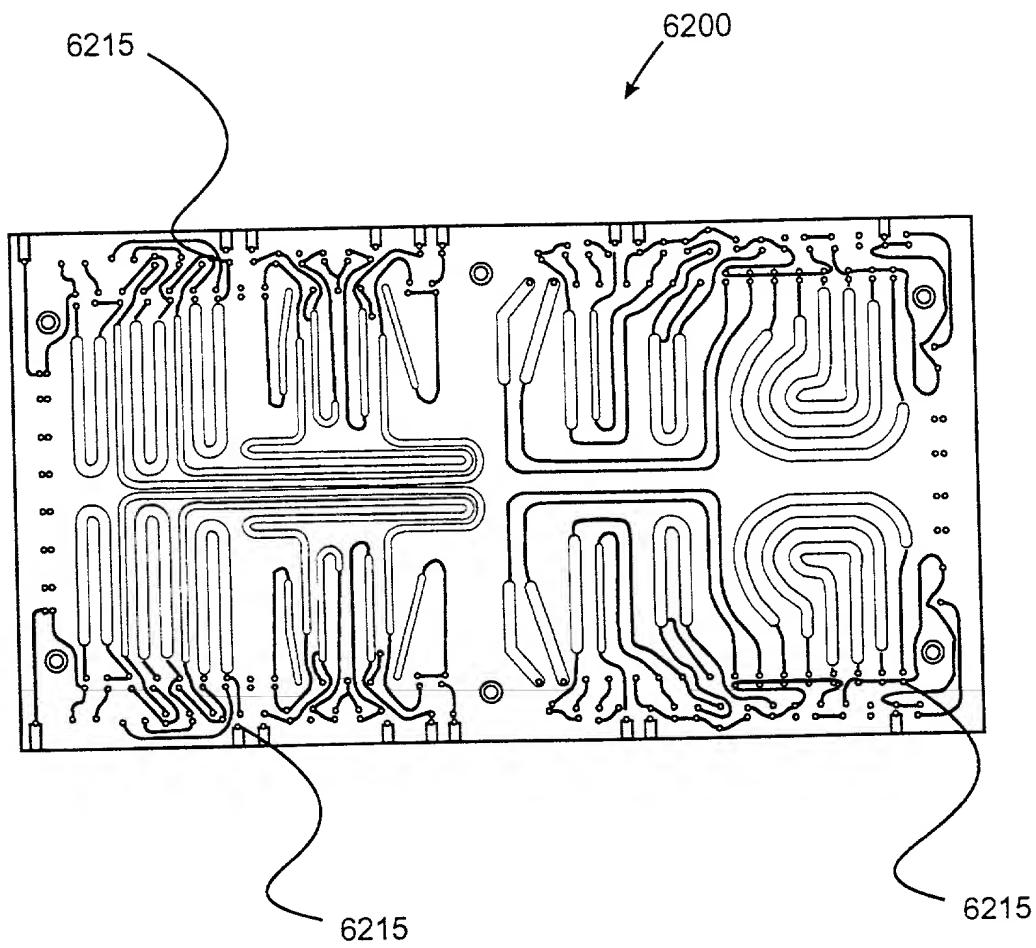


FIG. 51

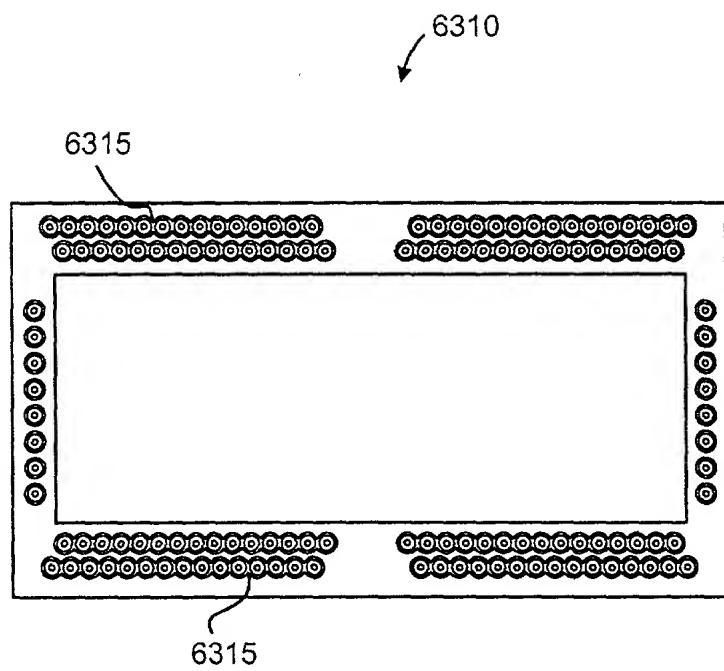


FIG. 52

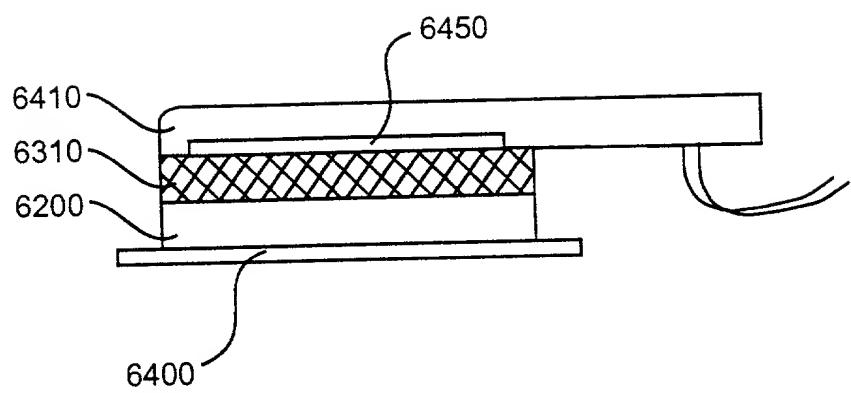


FIG. 53

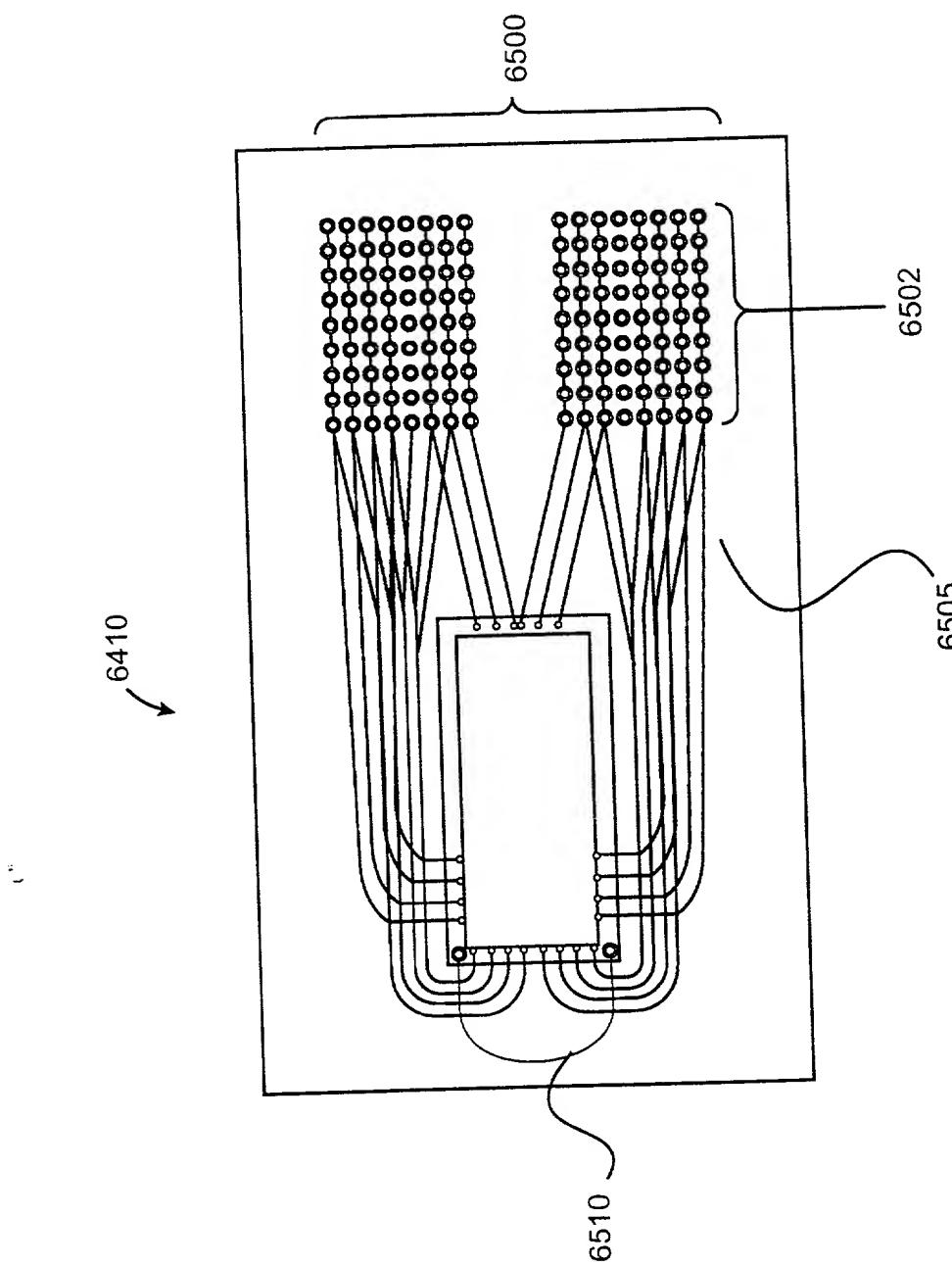


FIG. 54

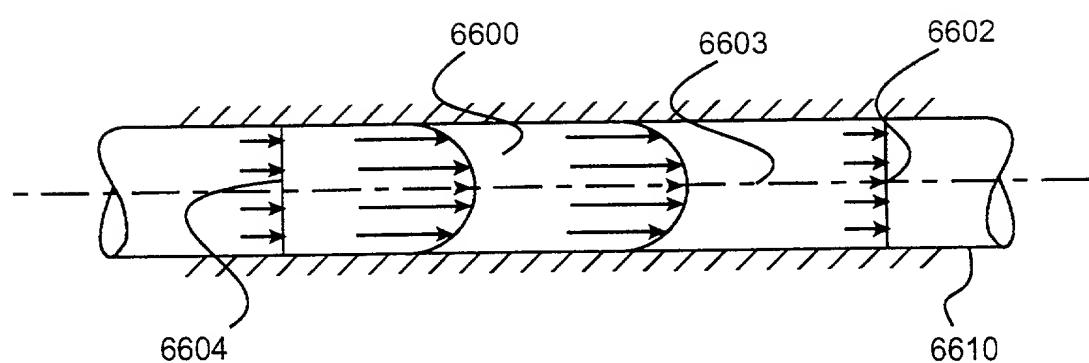


FIG. 55a

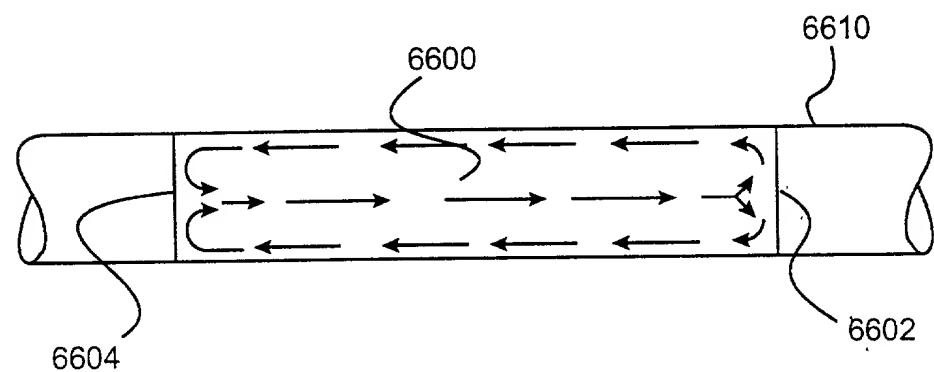


FIG. 55b

the genetic material of the cell. Further, the properties of these polypeptides, e.g., as enzymes, functional proteins, and structural proteins, are determined by the sequence of amino acids which make them up. As structure and function are integrally related, many biological functions may be explained by elucidating the underlying structural features which provide those functions, and these structures are determined by the underlying genetic information in the form of polynucleotide sequences. In addition to encoding polypeptides, polynucleotide sequences can also be specifically involved in, for example, the control and regulation of gene expression.

The study of this genetic information has proved to be of great value in providing a better understanding of life processes, as well as diagnosing and treating a large number of disorders. In particular, disorders which are caused by mutations, deletions or repeats in specific portions of the genome, may be readily diagnosed and/or treated using genetic techniques. Similarly, disorders caused by external agents may be diagnosed by detecting the presence of genetic material which is unique to the external agent, e.g., bacterial or viral DNA.

While current genetic methods are generally capable of identifying these genetic sequences, such methods generally rely on a multiplicity of distinct processes to elucidate the nucleic acid sequences, with each process introducing a potential for error into the overall process. These processes also draw from a large number of distinct disciplines, including chemistry, molecular biology, medicine and others. It would therefore be desirable to integrate the various process used in genetic diagnosis, in a single process, at a minimum cost, and with a maximum ease of operation.

Interest has been growing in the fabrication of microfluidic devices. Typically, advances in the semiconductor manufacturing arts have been translated to the fabrication of micromechanical structures, e.g., micropumps,

microvalves, and the like, and microfluidic devices including miniature chambers and flow passages.

A number of researchers have attempted to employ these microfabrication techniques in the miniaturization of some of the processes involved in genetic analysis in particular. For example, published PCT Application No. WO 94/05414, to Northrup and White, incorporated herein by reference in its entirety for all purposes, reports an integrated micro-PCR apparatus for collection and amplification of nucleic acids from a specimen. However, there remains a need for an apparatus which combines the various processing and analytical operations involved in nucleic acid analysis. The present invention meets these and other needs.

## 15 SUMMARY OF THE INVENTION

The present invention generally provides miniature integrated fluidic systems for carrying out a variety of preparative and analytical operations, as well as methods of operating these systems and methods of using these systems.

## 20 BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows a schematic representation of a nucleic acid diagnostic system for analysis of nucleic acids from samples.

25 Figs. 2A and 2B show schematic representations of two alternate reaction chamber designs from a cut-away view.

Fig. 3 shows a schematic representation of a miniature integrated diagnostic device having a number of reaction chambers arranged in a serial geometry.

30 Figs. 4A-C show a representation of a microcapillary electrophoresis device. Figs. 4A and 4B show the microcapillary configured for carrying out alternate loading strategies for the microcapillary whereas Fig. 4C illustrates the microcapillary in running mode.

35 Fig. 5A illustrates a top view of a miniature integrated device which employs a centralized geometry. Fig. 5B shows a side view of the same device wherein the central

chamber is a pumping chamber, and employing diaphragm valve structures for sealing reaction chambers.

Fig. 6 shows schematic illustrations of pneumatic control manifolds for transporting fluid within a miniature integrated device. Fig. 6A shows a manifold configuration suitable for application of negative pressure, or vacuum, whereas Fig. 6B shows a manifold configuration for application of positive pressures. Fig. 6C illustrates a pressure profile for moving fluids among several reaction chambers.

Fig. 7A shows a schematic illustration of a reaction chamber incorporating a PZT element for use in mixing the contents of the reaction chamber. Fig. 7B shows mixing within a reaction chamber applying the PZT mixing element as shown in Fig. 7A. Fig. 7C is a bar graph showing a comparison of hybridization intensities using mechanical mixing, acoustic mixing, stagnant hybridization and optimized acoustic mixing.

Fig. 8 is a schematic illustration of a side and top view of a base-unit for use with a miniature integrated device.

Fig. 10A is a gel showing a time course of an RNA fragmentation reaction. Fig. 10B is a gel showing a comparison of the product of an in vitro transcription reaction in a microchamber vs. a control (test tube). Fig. 10C is a comparison of the PCR product produced in a PCR thermal cycler and that produced by a microreactor.

Fig. 11 shows an embodiment of a reaction chamber employing an electronic pH control system.

Figs. 12A-C show a schematic representation of a miniature integrated device employing a pneumatic fluid direction system utilizing a gas permeable fluid barrier bound vents, e.g., a poorly wetting or hydrophobic membrane, and pneumatically controlled valves. Fig. 12A shows an embodiment of a single chamber employing this system. Fig. 12B is a schematic illustration of a debubbling chamber for linking discrete fluid plugs that are separated by a gas bubble. Fig. 12C schematically illustrates this system in an integrated device having numerous chambers, including degassing chamber,

dosing or volumetric chamber, storage and reaction chambers. Fig. 12D is an illustration of an injection molded substrate which embodies the system schematically illustrated in Fig. 12C.

5 Fig. 13 is a schematic representation of a device configuration for carrying generic sample preparation reactions.

Fig. 14 is a schematic representation of a device configuration for carrying out multiple parallel reactions.

10 Fig. 15 shows a demonstration of integrated reactions in a microfabricated polycarbonate device. Fig. 15A shows the layout of the device including the thermal configuration of the device. Fig. 15B shows the results of PCR amplification and subsequent in vitro transcription within the chambers of  
15 the device.

Fig. 16 schematically illustrates a deformable high capacity nucleic acid extraction device incorporating a porous material for extracting nucleic acids from samples.

Fig. 17 is a side sectional view of a miniaturized  
20 reactor device incorporating a positive displacement fluid movement scheme.

Fig. 18A is a top plan view of the pneumatic portion of the reactor device of Fig. 17.

Fig. 18B is a top plan view of the fluid portion of the  
25 reactor device of Fig. 17.

Fig. 19 schematically illustrates an affinity based nucleic acid extraction device incorporating a textured wall.

Fig. 20 illustrates an allele-specific purification device according to the present invention.

30 Fig. 21 is a schematic representation of a miniaturized device for performing rapid thermal cycling reactions, such as PCR or RT-PCR.

Figs. 22A and 22B are graphs of steady state power and cooling time versus thermal insulator thickness, respectively,  
35 for the device of Fig. 21.

Fig. 23 is a top view of an array of thin-film heaters mounted on a single thermoelectric cooler for independent

rapid thermal cycling reactions in the miniature device of Fig. 21.

Fig. 24 is a cross-section view of a hybridization cartridge.

5 Fig. 25 is a schematic illustration of a sealed pneumatic cartridge having a deformable diaphragm for drawing fluid into or ejecting fluid from a chamber.

Fig. 26 schematically illustrates an array of sealed pneumatic chambers on disposable cartridges.

10 Fig. 27 is a cross-sectional view of an electrically controlled nucleic acid purification chamber.

Fig. 29 is a cross-sectional view of a miniaturized m-RNA purification system.

15 Fig. 30 is a sectional view of a cell lysis or nucleic acid fragmentation system incorporating acoustic energy.

Fig. 31 is a partial sectional view of a cartridge adapted for low volume hybridization of high density oligonucleotide arrays.

20 Figs. 34A-34E illustrate a system and method for linking two fluid plugs.

Figs. 35A and 35B illustrate alternative embodiments of the system of Figs. 34A-34E.

25 Figs. 38A, 38B and 38C illustrate a chamber adapted for measuring or metering a variable amount of fluid.

Figs. 39A-39E illustrate a method for measuring a fluid amount with the chamber of Figs. 38A and 38B.

Fig. 40 illustrates a tapered chamber for linking fluid plugs with surface tension.

30 Figs. 41A and 41B illustrate a stalactite chamber for linking fluid plugs with surface tension.

Figs. 42A and 42B illustrate a chamber having a shallow region for linking fluid plugs with surface tension.

Fig. 43A illustrates a previous fluid mixing/linking structure with a vent membrane.

35 Fig. 43B illustrate the inventive fluid mixing/linking structure with a tapered channel leading to the vent membrane.

Figs. 44A and 44B REMOVED